

**Client Agreement** 

Updated on 5 May 2023



#### 1. INTRODUCTION

- 1.1 Doo Prime online trading services are provided subject to the following terms and conditions in this Client Agreement ("Agreement").
- 1.2 This Agreement is entered into between Doo Prime and an individual of legal age and capacity in the Client's respective jurisdiction or a legal entity ("Client"). This Agreement set forth the terms and conditions governing the Client's Account and Transactions at Doo Prime.
- 1.3 By creating an Account with Doo Prime, the Client acknowledges that he has read, fully understood and accepted the following and is willing to enter into a legally binding agreement with Doo Prime:
  - (a) this Agreement;
  - (b) the Risk Disclosure And Acknowledgement Notice available on Doo Prime's website;
  - (c) the Privacy Policy available on Doo Prime's website;
  - (d) the Refund Policy available on Doo Prime's website;
  - (e) the Execution Policy available on Doo Prime's website;
  - (f) the website Terms and Conditions of Doo Prime's website; and
  - (g) the Anti-Money Laundering and Counter-Terrorism Financing Policy available on Doo Prime's website.
- 1.4 The Client's usage or continued usage of Doo Prime's Services shall also be taken as the Client's consent to be legally bound by this Agreement, any of the documents described in Clause 1.3 and their subsequent amendments upon publishing of the documents on Doo Prime's website.
- 1.5 This Agreement shall commence immediately upon creation of the Client's Account with Doo Prime, and shall last indefinitely until termination of Account by the Client or Doo Prime.

#### 2. DEFINITIONS AND INTERPRETATION

- 2.1 Throughout this Agreement, unless the context otherwise requires, the following words and expressions shall bear the following meanings:
  - (a) "Account" means the assigned account that is created for the Client when the Client opens a trading account with Doo Prime, including but not limited to trading account, cash account, financial derivatives account and electronic wallet;
  - (b) "Agreement" means this Client Agreement;
  - (c) "Applicable Statutes And Regulations" means:
    - (i) statutes, rules or orders of the Relevant Regulatory Authorities;



- (ii) statutes, rules or orders of the relevant regulatory authorities in the Client's jurisdiction;
- (iii) the rules of the relevant financial exchange market; and
- (iv) all other applicable laws to this Agreement (and each as amended from time to time as applicable to this Agreement).
- (d) "Base Currency" means the currency in which the Client's Account is maintained and all Transactions are settled on the Account;
- (e) "Client Asset" means all cash or cash equivalents including but not limited to cash, cheque, bonds, securities, real estate properties, collateral, insurance money/policies, electronic money, all kinds of properties and other financial derivatives transferred to Doo Prime by the Client in the Account;
- (f) "Confidential Information" means all information relating to the business, products, services, personnel or commercial activities of the person providing the same, including but not limited to trading, transactions, strategies, clients and suppliers, financial accounts, computer programmes, computer data, devices, concepts, inventions (whether or not capable of being patented), designs, methods, techniques, marketing and commercial strategies, customers lists, potential customers lists, processes, data concepts, know-how, formulae, and unique combinations of separate items which may or may not be confidential, which information is not generally known to the public, and all such information will be considered Confidential Information whether or not marked with any proprietary notice or legend when the disclosure of the same is made;
- (g) "Corporate Event" means a company invested by the Client is undergoing insolvency, being suspended from trading or undertaking any major corporate restructuring, including but not limited to share buyback, corporate takeover, merger & acquisitions;
- (h) "Doo Prime" means any one of the following entities, as may be applicable:
  - Doo Prime Seychelles Limited, Republic of Seychelles. Doo Prime Seychelles Limited is a licensed securities dealer, authorized and regulated by the Seychelles Financial Services Authority, and the regulatory number is SD090;
  - Doo Prime Mauritius Limited, Republic of Mauritius. Doo Prime Mauritius Limited is a licensed investment dealer, authorized and regulated by the Mauritius Financial Services Commission, and the regulatory number is C119023907;
  - (iii) Doo Prime Vanuatu Limited, Republic of Vanuatu. Doo Prime Vanuatu Limited is a licensed financial dealer, authorized and regulated by the Vanuatu Financial Services Commission, and the regulatory number is 700238.
- (i) "Equity" means the cash balance within the Account with Doo Prime and value of open positions which is calculated by the sum of profit and loss of all open positions;

- (j) "Fees" means any applicable commissions, fees and charges as provided in the Trading Platform, including but not limited to additional value added tax, any applicable tax, settlement and exchange fees, regulatory levies or legal fees applicable;
- (k) "Free Margin" is the free margin not involved in the provision of guarantees for open positions and shall be derived from the formula (Free Margin = Equity Margin);
- (I) "Force Majeure Event" means any of the events set forth in Clause 17;
- (m) "GDPR" means the EU General Data Protection Regulation 2016/679;
- (n) "Initial Margin" means the minimum amount of money required in the Client's Account to perform a Transaction, as specified on the Trading Platform from time to time for each Services;
- (o) "Intellectual Property Rights" means any or all of the following:
  - the copyright in and to computer programs (object and source code) and copyright in and to the images displayed on screen and the sounds produced including all possible combinations and sequences thereof and the underlying script for the same;
  - (ii) the trademark for the artwork including but not limited to pictorial, graphic, visual, audio, audio-visual, digital, literary, animated, sculptural or any type of creations, applications, animation, drawings, designs, sketches, visual effects shot logs and character profiles;
  - (iii) all trade secrets and know-how;
  - (iv) software and web portals; and
  - (v) patents and patents applications;

whether or not now existing and whether or not registered or registrable and includes any rights to apply for the registration of such rights and includes all renewals and extensions;

- (p) "Manifest Error" means an error or misquote by Doo Prime, any market, exchange, banking institution, information source, or any third party reasonably relied by Doo Prime;
- (q) "Margin" means collectively Initial Margin and Margin Requirement;
- (r) "Margin Level" shall be derived from the formula (Equity / Margin x 100%);
- (s) "Margin Call" means the value of the Margin Level as provided in the Trading Platform;
- (t) "Margin Requirement" means the minimum amount of money required in the Client's Account as specified on the Trading Platform to keep an open position on the Trading Platform;



- (u) "Material" means any materials and information distributed by the third party, as described in Clause 3;
- (v) "Party" means the Client or Doo Prime (collectively, "Parties");
- (w) "Personal Data" means the personal data provided by the Client and shall have its meaning under the GDPR;
- (x) "Relevant Regulatory Authorities" means the relevant regulatory authority which may be applicable to Doo Prime's business operation and service providers, including but not limited to the United States Securities and Exchange Commission, the United States Financial Industry Regulatory Authority, the United Kingdom Financial Conduct Authority, the Australian Securities & Investments Commission, the European Securities and Markets Authority, the Seychelles Financial Services Authority, the Mauritius Financial Services Commission, the Vanuatu Financial Services Commission and etc.
- (y) "Services" means the dealing services provided by Doo Prime to the Client within the Trading Platform;
- (z) "Specified Default Event" means any of the events set forth in Clause 9;
- (aa) "Stop Loss" means an order to close an open position to achieve a certain level of prices to reduce losses;
- (bb) "Stop Out" occurs when the value of the Margin Level falls below the level as provided in the Trading Platform where force closing of the opened positions shall occur without any prior notice to the Client;
- (cc) "Trading Hours" means the hours of the day when Transactions may, subject to this Agreement, be opened or closed in relation to the Services;
- (dd) "Trading Platform" means Doo Prime's electronic trading platform facility, as described in Clause 7.3;
- (ee) "Transaction" or "Transactions" means:
  - (i) the opening or closing of trades, orders or positions; and
  - (ii) deposits, withdrawals, internal fund transfer and all other fund movement activities,

whether by Doo Prime or the Client, in accordance with the terms of this Agreement.

- 2.2 In this Agreement:
  - (a) words denoting the singular shall include the plural number and vice versa;
  - (b) words denoting any gender shall include all genders; and
  - (c) words denoting persons shall include natural persons, firms, companies, bodies corporate and unincorporated bodies.



- 2.3 Unless otherwise expressly provided, any reference in this Agreement to:
  - (a) a clause or a Schedule is a reference to the relevant clause of, or schedule to, this Agreement; and
  - (b) any reference to a section is a reference to the relevant section of the relevant Schedule.

The Schedules shall form part of this Agreement.

2.4 The headings to the clauses, sections and Schedule in this Agreement are for the purposes of reference only, and shall be ignored when construing the meaning of any provision of this Agreement.

#### 3. SERVICES BY DOO PRIME

#### 3.1 PROVISION OF SERVICES BY DOO PRIME

- 3.1.1 All Services provided by Doo Prime and all Transactions shall subject to the terms and conditions herein contained.
- 3.1.2 The Client acknowledges and agrees that Doo Prime's Services do not include the provision of any investment advice or recommendation. Any possible discussions carried on between the Client and Doo Prime's employees or any information provided by Doo Prime shall not constitute any binding relationship among them, nor do they constitute Doo Prime's recommendations to the Client.
- 3.1.3 Any investment information displayed on Doo Prime's or any of Doo Prime's holding company's website shall not constitute any investment, tax, legal, regulatory or financial advice and has no regard to specific investment objectives, financial situations or particular needs of the Client. The Client understands and acknowledges:
  - (a) that all information published on Doo Prime's or any of Doo Prime's holding company's website is addressed to the general public solely for information purposes; and
  - (b) that mere explanation of the terms of any Transactions or its performance characteristics does not amount to advice on the merits of the investment.
- 3.1.4 The Client affirms, acknowledges and accepts that Doo Prime shall not in any circumstances:
  - (a) oblige to provide any investment advice in relation to any Services;
  - (b) bear any responsibility for any Transaction or investment decisions carried out by the Client; and
  - (c) in response to the Client's request for further market information, Doo Prime's disclosure of such factual market information to the Client will not constitute as investment advice.



- 3.1.5 The Client understands and accepts that he is solely responsible for any investment strategy, transactions or investments that the Client enters into.
- 3.1.6 Doo Prime may, from time to time and as often as it deems appropriate, issue and/or distribute material or third-party material ("Material"), which contains information including but not limited to the conditions of the financial markets, posted on Doo Prime's website and other media and /or received by the Client. It should be noted that the Material is used solely for the purposes of marketing communication and does not contain, and should not be construed as containing investment advice and/or an investment recommendation for any Transactions. While Doo Prime took all reasonable efforts to ensure the accuracy and completeness of the information, Doo Prime makes no representations and warranties to the Material and shall not be liable for any direct or indirect loss or damages for any inaccuracies and incompleteness of the information provided. The Client shall not reproduce, copy, redistribute, license the Material without Doo Prime's consent.
- 3.1.7 The Client acknowledges that the Client's principal broker and principal trader shall at all times be Doo Prime. In circumstances where the Client performs trading transactions or open positions by depositing or withdrawing funds directly from Doo Prime's liquidity providers, these liquidity providers shall not the be counterparty of the Client.

# 3.2 SUSPENSION AND TERMINATION OF SERVICES BY DOO PRIME

- 3.2.1 Doo Prime reserves the right and may at its discretion:
  - (a) suspend or terminate the Client's Account;
  - (b) perform additional due diligence by requesting for further documents and details from the Client;
  - (c) freeze the Client Asset in the Account indefinitely until further notice;
  - (d) suspend open and close any or all positions, force close any or all open positions or reject opening of new positions;
  - (e) suspend or terminate any ongoing Transactions;
  - (f) reject and return all deposit of funds made by the Client;
  - (g) investigate the alleged suspicion within 14 calendar days, extendable up to another 14 calendar days after the lapse of the initial 14 calendar days;
  - (h) submit a suspicious activity or suspicious transaction report to the Relevant Regulatory Authorities;
  - (i) deduct any expenses incurred by us as a result of the Client's misrepresentation;

with or without prior notifying the Client. The Client agrees and acknowledges that Doo Prime shall not be liable for any direct, indirect, consequential, incidental loss, loss of profits, loss of goodwill, reputational damage and loss of opportunity to the Client or any third party as a result of exercising its rights under Clause 3.2.1 or for any modification, suspension or

discontinuance of any of Doo Prime's Services. The Client shall indemnify Doo Prime for all costs and expenses incurred by Doo Prime due to all of the events above.

- 3.2.2 The Client acknowledges that Doo Prime may act in accordance with Clause 3.2.1 in the event:
  - (a) Doo Prime reasonably believes that proceeding with the Transaction will infringe any Applicable Statutes And Regulations;
  - (b) the Client is in breach or Doo Prime reasonably believes that the Client will be in breach of the material terms and conditions of this Agreement;
  - (c) the Client has made any material misrepresentation to Doo Prime, including but not limited to providing fake, forged or altered documentation;
  - (d) the Client failed to provide the information requested in relation to any verification process undertaken by Doo Prime;
  - (e) the Client acted contrary in good faith or engaged in illegal and/or immoral activity, including but not limited to money laundering, hedging in bad faith, fraud, or any other forms of deceitful or fraudulent activity;
  - (f) there is a security breach;
  - (g) Doo Prime has reasonable grounds to believe that the Client is using an electronic device, software, algorithm or any other strategy to exploit, manipulate or take unfair advantage of Doo Prime's trading system;
  - (h) Doo Prime has reasonable grounds to believe that the Client will not be able to pay Doo Prime anything owed by the Client to Doo Prime;
  - (i) insolvency, bankruptcy or debt restructuring event occurs in relation to the Client;
  - (j) a Force Majeure Event occurs; or
  - (k) a Specified Default Event occurs.

#### 4. COMPLIANCE WITH APPLICABLE STATUTES AND REGULATIONS AND AGREEMENT AMENDMENTS

- 4.1 The Client acknowledges that all Transactions under this Agreement shall subject to the Applicable Statutes And Regulations wherein:
  - (a) if there is any conflict between this Agreement and any Applicable Statutes And Regulations, the latter shall prevail;
  - (b) Doo Prime reserves the right to take any necessary and reasonable action in relation to any Transaction and Account in ensuring compliance with any of the Applicable Statutes And Regulations; and



- (c) all actions taken by Doo Prime in compliance with the Applicable Statutes And Regulations shall be irrevocably binding on the Client.
- 4.2 In the event there is any suspicious activity or transaction, Doo Prime may exercise the rights under Clause 3.2.1 and investigate the suspicious activity or transaction within 14 calendar days, extendable up to another 14 calendar days after the lapse of the initial 14 calendar days. Doo Prime reserves its rights to report the Client's activity to the Relevant Regulatory Authorities and shall not be liable for any direct or indirect loss or damages in the event there is:
  - (a) fraudulent misrepresentation by the Client;
  - (b) any form of unjust enrichment by the Client;
  - (c) any illegal business activities undertaken to the Client; or
  - (d) any other business activities which are not in compliance or potentially not in compliance with the Applicable Statutes And Regulations;

which thereafter Doo Prime reserves its rights in terminating this Agreement in accordance with Clause 16.

- 4.3 The Client accepts and understands that Doo Prime is entitled to amend the terms and conditions of this Agreement at any time by publishing an amended Client Agreement on the website or CRM system, or giving a notice of minimum thirty calendar days ("Amendments"), including but not limited to a notice given by e-mail to the Client or posted on Doo Prime's website. The Amendments shall supersede the relevant terms and conditions of the previous agreement.
- 4.4 Doo Prime reserves the right to review and amend all terms and conditions related to the trading and execution of the Services, and such changes shall be effective upon publishing on Doo Prime's website or CRM system, which the Client undertakes to check from time to time.
- 4.5 The Amendments shall become effective upon publishing on the website or CRM system and the Client shall be deemed to accept the Amendments unless Doo Prime receives a written notice of the Client's disapproval within thirty calendar days as from the date of notification. In such case, the Amendments shall not be binding on the Client, but the Client's Account will be suspended within fourteen calendar days and the Client is advised to make the necessary arrangements to terminate the Client's Account.
- 4.6 Doo Prime expressly reserves the right to use its website or CRM system to inform the Client about any changes in this Agreement and the posting of a notice on Doo Prime's website or CRM system shall be deemed a valid notification of such changes to the Client. The Client undertakes to regularly review Doo Prime's website or CRM system, and/or regularly access his Account for any relevant information published.

## 5. CLIENT'S RISK DISCLOSURE AND ACKNOWLEDGEMENT

5.1 The Client acknowledges that the Client has read the Risk Disclosure And Acknowledgement available on Doo Prime's website.



- 5.2 The Client understands that the Services on Doo Prime's website are highly speculative and may expose the Client's financial position to a high degree of volatility. The Client accepts, understands and is aware of the risks herein and is willing to undertake this risk.
- 5.3 All Services available on Doo Prime's website shall not constitute as a solicitation or offer to transact the Services. Some Services are only limited to customers in certain countries and regions.
- 5.4 The Client acknowledges and accepts that:
  - (a) the Client is financially willing and capable of assuming the risk of trading in speculative investments;
  - (b) the Client is solely responsible for any profit or loss from the investment or trading decisions made;
  - (c) the Client's investment decisions will be based solely on his own evaluation of the market, financial circumstances and investment objectives wherein the Client undertakes not to hold Doo Prime liable for any trading loss incurred;
  - (d) the Client is responsible to maintain proper functional computer equipment, steady internet connection, operating system with sufficient anti-virus protection and back-up system to prevent damage and/or unauthorised access to the Client's Account and Trading Platform;
  - (e) past performance of an investment is not an indication of its performance in the future;
  - (f) some Services are contingent liabilities transactions and Doo Prime shall not provide any guarantee on their liquidity. Due to unforeseeable market circumstances:
    - (i) there may be difficulty in liquidating positions;
    - (ii) liquidation may only be possible at a large loss; or
    - (iii) the Client may be required to deposit additional large sums in a short period of time as Margin to secure the Client's positions from being liquidated at a loss;
  - (g) Transactions on markets in other jurisdictions may expose the Client to additional risks as such markets may offer different or inferior investor protection, and the Client is advised to understand the rules and risks prior trading in markets of other jurisdictions;
  - (h) Doo Prime reserves the right to amend, revoke or terminate any trading events, activities, promotional activities and any sort of benefit provided by Doo Prime at any time without any prior notice;
  - (i) all Transactions made on Trading Platform shall be deemed to have been made by the Client or his authorised representative;



- (j) CFDs are complex instruments and comes with contingent liabilities and high risks of losing money rapidly due to leverage and the Client assumes all risks associated prior investing;
- (k) Transactions in futures are extremely risky where the gearing or leverage obtainable in futures trading meant that a small deposit or down payment can lead to large losses as well as gains;
- (I) trading on Margin is extremely risky and may result in loss of funds greater than the amount deposited in the Client's Account;
- (m) the Client is aware and understands the characteristics and risks in relation to each Services and the features of Trading Platform, and shall not trade or deal with each Services unless the Client accepts and understands so;
- (n) the Client has read this Agreement, agree to all the terms and conditions and independently evaluated the risks and merits of Doo Prime's Trading Platform and Transaction without relying on any representation and warranties otherwise mentioned in this Agreement;
- (o) the Client has independently evaluated the Applicable Statutes And Regulations in the Client's region and undertakes to enter this Agreement without violating any Applicable Statutes And Regulations;
- (p) if the Services are traded in a currency other than the currency of the Client's country of residence, any changes in the exchange rates may have a negative effect on its value, price and performance and may lead to losses for the Client.
- (q) Doo Prime shall not be liable for any loss or damages caused by failure, delay, interruption, malfunction in information, communication, or electronic systems, save for gross negligence or wilful default by Doo Prime;
- (r) due to rapid market fluctuation and unforeseeable events:
  - (i) a Stop Loss order may not be effective in limiting the loss incurred;
  - (ii) the Client may be required to deposit a substantial amount of funds in a short term to maintain the open positions;
  - (iii) there will be difficulty in liquidating some positions;
  - (iv) Doo Prime may exercise Margin Call and/or Stop Out in accordance with Clause 7 and Doo Prime shall not be liable for any loss suffered by the Client;
- (s) the Client is aware and understands the Fees payable for each Services;
- (t) Doo Prime's prices of trading instruments are obtained from Doo Prime's liquidity providers, and when the liquidity of Services are limited, there will be price gaps and liquidity shortages where Transactions may not be executed at the price and volume intended;



- (u) the prices of some Services available on the Trading Platform may be independent of any exchanges and Doo Prime is not obliged to follow the prices of other exchange platforms;
- (v) the Client should obtain the relevant details in relation to the intended investment, such as Margin Requirements, positions and/or volume limits etc;
- (w) the Client is liable for his taxes and/or other duty in ensuring compliance with the Applicable Statutes And Regulations;
- (x) in the event of Doo Prime's insolvency or involvement in a debt restructuring mechanism, the Client may not fully recover the funds or properties deposited in the Account;
- (y) Doo Prime shall not be under any obligation to inform the Client any occurrence of Corporate Event, and shall not be liable for any loss for doing so;
- (z) Doo Prime shall not engage any business relationships with individuals or companies of certain jurisdictions, as updated from time to time on Doo Prime's website. Doo Prime reserves the right to amend its prohibited country list at any time without prior notice;
- (aa) the transactional data, trade and trading product details (except for the Client's Personal Data) from the Trading Platform remains the sole property of Doo Prime, and Doo Prime reserves the right to act upon it if necessary or deemed appropriate and reasonable by Doo Prime; and
- (bb) that CFD trading does not give the Client any right to the underlying instrument of the Transaction, and depending on its nature, the Client may be liable to make further payments when the Transaction fails.
- 5.5 The risks disclosed in this Agreement and the Risk Disclosure And Acknowledgement Notice are non-exhaustive and may not have disclosed or explained all of the risks associated in dealing with the Services. The Client should seek independent advice if the Client does not understand the risks explained herein.

# 6. CLIENT'S REPRESENTATIONS AND WARRANTIES

- 6.1 The Client represents and warrants to Doo Prime, and agree that each such representation and warranty is deemed repeated each time the Client open or close a Transaction by reference to the circumstances prevailing at such time, that:
  - (a) the Client is an individual of sound mind, legal age and legal competence, or if the Client is a corporate body, the Client warrants that he is legally incorporated and the authorised representative shall have full authority in dealing with the Account;
  - (b) the Client has obtained all corporate, governmental, regulatory and other consents or approvals necessary for the execution, delivery and performance of this Agreement;
  - (c) the Client has full power and lawful authority to execute, deliver and perform this Agreement;



- (d) no winding-up petition has been presented, no order of court has been made, no bankruptcy action has been initiated against the Client, no resolution has been passed for the winding-up of the Client or for the appointment of liquidator or a provisional liquidator of the Client;
- (e) the Client is not a politically exposed person, close associate of politically exposed person, non-government organisation, or embassy;
- (f) the Client has no businesses in defence, military, atomic power, adult entertainment, marijuana, gambling;
- (g) the information provided to Doo Prime in the Client's application form and at any time thereafter is true and accurate;
- (h) the Client is duly authorised to execute and deliver this Agreement, to enter into each Transaction and to perform the Client's obligation hereunder and has taken all necessary action to authorise such execution, delivery and performance;
- the Client will enter into this Agreement and each Transaction as principal unless the Client has informed Doo Prime otherwise in writing or the Client is acting in accordance with a power of attorney;
- (j) any persons representing the Client in executing a Transaction or authorised to execute a Transaction will have been duly authorised;
- (k) execution of this Agreement and each Transaction will not violate any Applicable Statutes And Regulations, law, statutes or regulations applicable to the Client;
- (I) the Client is not entering this Agreement under duress or otherwise persuaded or forced to enter this Agreement;
- (m) this Agreement, each Transaction and obligations created herein are legally binding and enforceable against the Client;
- (n) the Client undertakes to notify Doo Prime as soon as reasonably practicable any changes of his personal details or other information relevant to this Agreement;
- (o) the Client has not breached any terms and conditions of this Agreement as of the date of this Agreement;
- (p) the Client has read, understood and undertakes to comply with this Agreement, and the documents mentioned in Clause 1.3 at all times;
- (q) upon request, the Client shall provide Doo Prime with any information or evidence required to comply with this Agreement, including but not limited to details on source of funds, verification documents as per Doo Prime's request, etc., and the Client's failure to provide the same may invoke Doo Prime's right to exercise the rights under Clause 3.2.1;



- (r) use the Services, Trading Platform, services by Doo Prime's payment service providers and other service providers in good faith and for own trading purposes, and shall not use it for purposes other than this Agreement;
- (s) use the Services, data and information on the Trading Platform in compliance with Applicable Statutes And Regulations and for the purposes of this Agreement;
- (t) that all the funds which will be used or deposited to Doo Prime, Doo Prime's related companies, affiliates, payment services providers, payment gateway providers and their respective banking services providers shall not subject to any charges, liens or other encumbrances or originate in any way from illegal activities, including but not limited to drug trafficking, abduction, money laundering, or other criminal activity;
- (u) at all times comply with all Applicable Statutes And Regulations;
- (v) the Client is willing and financially able to sustain a total loss of all the Client's funds paid to Doo Prime as a result of performing the Transactions;
- (w) the Client has installed and implemented appropriate means of anti-virus protection and undertakes to indemnify Doo Prime for any resulting loss or damages for breach of this clause;
- (x) to bear all applicable personal taxes and indemnify Doo Prime from any loss or damages as a result of breaching this clause;
- (y) Doo Prime may use the name, logo, trademark, or brand of the Client for marketing and promotion purposes;
- (z) the Client shall inform Doo Prime any breaches or potential breaches of this Agreement by the Client whereby this Agreement may be voidable at Doo Prime's discretion in the absence of such notifications.
- 6.2 The Client represents and warrants to Doo Prime not to:
  - (a) use the Trading Platform, services by Doo Prime's payment service providers and other service providers for any unlawful purposes;
  - (b) negligently or maliciously interfere, disrupt, overload or delay the operation of the Trading Platform, Doo Prime's payment service providers and other service providers;
  - (c) use any software, programs, algorithms or applications other than those provided by Doo Prime, either directly or indirectly to:
    - (i) manipulate or take unfair advantage of the Trading Platform, services by Doo Prime's payment service providers and other service providers; or
    - (ii) apply any arbitrage practices (such as but not limited to latency abuse, price manipulation or time manipulation) that aims to manipulate or take unfair advantage of the way in which Doo Prime constructs, provides or conveys Doo Prime's bid or offer prices;

- (d) adopt any trading strategies aimed at exploiting errors in prices and/or trading at offmarket prices, or take advantage of internet delays;
- (e) perform any malicious carry trade, carry trade in bad faith or other similar trading strategies to take advantage of differences in interest rates of foreign currencies;
- (f) perform any Transaction, either by the Client or acting in concert with others:
  - (i) which will have a declarable interest in the relevant company set by the applicable security exchange regulations;
  - (ii) in relation to:

Your Private Broker

- (aa) a placing, issue, distribution or other analogous event;
- (bb) an offer, take-over, merger or other analogous event; or
- (cc) any other corporate finance style activity;

which the Client is involved or otherwise interested;

- (g) breach any of the Applicable Statutes And Regulations;
- (h) breach any material terms of this Agreement;
- (i) decompile, decode or disassemble any of Doo Prime's algorithm, software or application;
- (j) in Doo Prime's reasonable discretion, commit any of the following for improper gain, profits, rebates, commissions, swap-free, save cost and any sort of benefit:
  - (i) exploit flaws and/or loopholes of quotations in the trading systems;
  - (ii) exploit flaws and/or loopholes in the brokerage systems;
  - (iii) exploit flaws and/or loopholes in the trading events, activities, promotional activities and any sort of benefit provided by Doo Prime;
  - (iv) exploit the trading conditions provided by brokers (trading conditions include leverage, bonuses, etc);
  - (v) exploit the OTC market's liquidity conditions;
  - (vi) exploit the correlation between the OTC market and other related markets;
  - (vii) exploit the market inactivity;
  - (viii) hedge trades with other brokers;
  - (ix) other improper gains through violation of the market fairness principle;
  - (x) violate Doo Prime's internal risk management policies;



- (j) open or close a Transaction or position that contravenes any primary or secondary legislation or other law against insider dealings, market manipulation, market abuse, related party transaction or other market distortion behaviour which is in breach of Applicable Statutes And Regulations.
- 6.3 In the event the Client breaches any representation or warranty under this Clause 6, the Client acknowledges that Doo Prime reserves the right and may:
  - (a) suspend or terminate the Client's Account;
  - (b) freeze the Client's Money in the Account;
  - (c) suspend, invalidate, nullify or terminate any Transaction;
  - (d) within the Account, deduct, apply, set off any profits, rebates, commissions and any sort of benefit gained from the trading activities and Transactions which were in breach of the terms and conditions of this Agreement (in the case of introducing brokers, all profits, rebates, commissions and any sort of benefit gained from the Client's trading activities and Transactions);
  - (e) if there is outstanding Fees due after Doo Prime exercise its rights under Clause 6.3(d), the remaining balance shall be immediately due and payable to Doo Prime, failure which Doo Prime shall reserve its rights to commence legal proceedings;
  - (f) process the withdrawal of part of all of Client Asset to the Client's authorised bank account(s), the Client's authorised/original depositing channel or Doo Prime's payment gateway provider; and/or
  - (g) terminate this Agreement.

## 7. CLIENT'S ACCOUNT

## 7.1 ACCOUNT OPENING

- 7.1.1 The Client is required to fill up the registration form online, choose the trading platform and type of accounts desired during the Account registration. The Client should read and understand the features and limitation of each type of accounts prior to Account registration. Doo Prime shall inform the Client when the Account is successfully registered.
- 7.1.2 Doo Prime reserves the right in refusing registration of Account for any reason and may change the features of Account at any time by giving notification to the Client or on Doo Prime's website.
- 7.1.3 The Client authorises Doo Prime to use all information provided by the Client to conduct credit report searches or other available searches to verify the Client's identity.
- 7.1.4 Unless expressly agreed in writing or in the event a power of attorney is applicable, the Client warrants that the Client will open each Account with Doo Prime as principal



and not as agent for an undisclosed person. The Client shall be responsible for performing his obligations under the Transactions and bear all legal obligations under the Account.

- 7.1.5 The Client shall be responsible in securing the Account ID and password, and shall immediately notify Doo Prime in the event there is:
  - (a) any loss, theft or unauthorised use of the Account ID and password;
  - (b) any inaccurate information in the Account or Transaction; and
  - (c) any receipts of confirmation of a Transaction which the Client has not placed.
- 7.1.6 If there has not been any Transaction or Account activities for three months, Doo Prime is entitled to terminate the Account after notifying the Client at the last known email and service address. Doo Prime shall deposit any positive cash balance to the Client's bank account subject to Clause 10 and deduction of any applicable Fees.

# 7.2. BASE CURRENCY OF ACCOUNT

- 7.2.1 The Client may choose the currency available on Doo Prime's website as the Base Currency for the Account during Account registration.
- 7.2.2 In the event the sums deposited in the Account is in a currency other than the Base Currency, Doo Prime shall automatically convert the sums into the Base Currency at the prevailing conversation rate.
- 7.2.3 When a withdrawal or refund is performed from the Client's Account, Doo Prime reserve the right to remit the funds in the same currency in which such funds were initially received by Doo Prime. In the event such withdrawal or refund is made in a currency other than Base Currency, Doo Prime shall automatically convert the sums into such currency at the prevailing conversation rate.
- 7.2.4 The Client shall bear any incurred bank transfer fees and currency conversation rate for depositing or withdrawing funds from the Account.

# 7.3. TRADING PLATFORM

- 7.3.1 Doo Prime grants the Client access to trade the Services via its MetaTrader 4 and MetaTrader 5 trading platform ("Trading Platform").
- 7.3.2 Prior to any trading activity, the Client is required to download and install the Trading Platform from Doo Prime's website. The Trading Platform shall solely be utilised for the purposes of Services in accordance with this Agreement.
- 7.3.3 Doo Prime makes no express or implied representation:
  - (a) that the Trading Platform shall or will be uninterrupted, error-free or available at all times; and
  - (b) that the Trading Platform is free from viruses, bugs or anything else with destructive properties.

7.3.4 Doo Prime shall use its best endeavours and all reasonable commercial efforts to resolve all technicality and technological errors in the following priorities and timeframe:

Priorities	Description	Processing Time
1. High	<ul> <li>Seriously affect normal business transactions and fail to execute core business activities, which is often caused by the following factors:</li> <li>The breakdown of servers or operating systems;</li> <li>The breakdown of core functions or dependent applications of systems;</li> <li>Including but not limited to the factors mentioned above.</li> </ul>	1 hour
2. Middle	<ul> <li>Affect normal business and have negative impacts on business operations, but core functions can still be operated normally, which is often caused by the following factors: <ul> <li>Version updates of software or system;</li> <li>Modification or revision of core functions;</li> <li>Including but not limited to the factors mentioned above.</li> </ul> </li> </ul>	4 hours
3. Low	Affect normal business but have no negative impacts on actual business operations.	24 hours

- 7.3.5 The Client acknowledges that the Trading Platform may expose the Client to risks associated with the download and/or use of software that may not be compatible with the Client's computer equipment and that the Client accepts such risks, including but not limited to failure of or damage to hardware, software, communication lines or systems.
- 7.3.6 Doo Prime reserves the right to add, modify, amend, reject or remove the Trading Platform, Services or Transaction at its discretion. Doo Prime shall use its best endeavours to notify the Client within a reasonable time prior to any modification, rejection or removal of the Trading Platform, Services or Transaction. Doo Prime is not obliged to provide any explanation for this and the Client acknowledges that Doo Prime shall not be liable to the Client for doing so.



#### 7.4 ORDERS BY THE CLIENT

- 7.4.1 Doo Prime will handle the Transactions in accordance with the Execution Policy available on Doo Prime's website. The Execution Policy shall be a part of this Agreement and is incorporated herein by reference, and shall be applicable to all Transactions entered into by and between the Client and Doo Prime. Doo Prime will notify the Client of any material changes to the Execution Policy, but the Client undertakes to check for any other changes to the Execution Policy from time to time at Doo Prime's website.
- 7.4.2 Unless otherwise directed by the Client, Doo Prime has the discretion to select the marketplace to which to route any of the Client's Transaction in accordance with the Applicable Statutes And Regulations.
- 7.4.3 Doo Prime undertakes to use its best endeavours in performing Transactions. The Client acknowledges that the Transactions may not be completed for reasons beyond the control of Doo Prime. Doo Prime may defer execution of any Transaction if Doo Prime believe it is not reasonably practicable to act upon it within a reasonable time, and Doo Prime shall not be liable for any loss arising from such deferral. Doo Prime shall only accept order instructions transmitted via the Trading Platform.
- 7.4.4 Doo Prime does not represent or warrant that every Transaction will be executed at the most competitive price and that all prices shown may subject to constant change as a result of the following varying factor:
  - (a) Doo Prime may not have access to every market at which the Services are traded;
  - (b) Services at certain volume and pricing may be limited;
  - (c) change of Applicable Statues And Regulations, systems delays, or system failures may prevent or delay the Transactions or Transactions to be executed at the intended pricing;
  - (d) technical conditions, e.g. the transfer rate of data network and rapid market conditions.
- 7.4.5 The Client authorises and acknowledges that Doo Prime may delegate the Transactions to another executing broker for execution, including but not limited to Doo Prime's related company or a non-affiliated third party, of which Doo Prime's rights and obligations under this Agreement shall be applicable to them.
- 7.4.6 Doo Prime reserves its rights to suspend, reject any Transaction, delay or restrict the Client's trading activity (including but not limited to controlling maximum Transaction amount, size and Doo Prime's total exposure to the Client) and the Client shall comply with any reasonably restrictions that Doo Prime may notify the Client with respect to the Client's activities on the Trading Platform, including but not limited to the limitations on the size of Transactions or other applicable conditions in the following circumstances:

- (a) a Specified Default Event or a Force Majeure Event occurs;
- (b) any Fees owed by the Client is overdue;
- (c) enforcement by the regulatory authority or Applicable Statues And Regulations;
- (d) the Margin under Clause 7.6 has not been complied with;
- (e) disruption, failure or error of Trading Platform, Services, software, third party software, equipment, Doo Prime's payment service provider, other service provider or any other technicality error;
- (f) under abnormal market conditions;
- (g) it is impossible to proceed with the Transaction due to insufficient or excessive volume of trade;
- (h) where Doo Prime has reasonable doubts on the legality or validity of the Transaction;
- (i) the Account is suspended;
- (j) Doo Prime has sent a notice of termination to the Client;
- (k) any event that impairs or restricts the Trading Platform to function on a normal basis;
- (I) the Transaction derives from a Manifest Error;
- (m) the relevant market exchange is not open for trading;
- (n) the Client does not have sufficient funds to cover the cost of the Transaction;
- (o) the Client has exceeded the trading limit applicable;
- (p) Doo Prime or its related party may be in breach of Applicable Statutes And Regulations by proceeding with the Transaction;
- (q) when the Client has utilized network facilities to arbitrage;
- (r) any circumstances Doo Prime deems fit.
- 7.4.7 The Client can execute Stop Loss for the Services, which may be amended or cancelled by the Client before the trading conditions are fulfilled. The Client acknowledges that cancellation or modification of the Stop Loss is not possible after the trading conditions are fulfilled. Doo Prime shall not be held liable for any loss or damages for any failure in such cancellation or modification.
- 7.4.8 Third party products or services or provided on an "as is where is" basis. Doo Prime makes no representation and warranties (including but not limited to accuracy or

completeness) in relation to any third party products or services. Doo Prime shall not be obliged to support or maintain any third party products or services, nor will Doo Prime have any liability, regardless of the nature of the claim or the nature of the claimed or alleged damages, including, without limitation, direct, indirect, incidental, consequential or punitive damages, for any claims arising from or related to the use or distribution of the third-party products and services.

- 7.4.9 In the event of a Manifest Error, Doo Prime reserves the right, without prior notice:
  - (a) to not take any action at all;
  - (b) to amend any Transaction deriving or involving a Manifest Error in good faith so as to reflect what Doo Prime reasonably consider in Doo Prime's discretion; or
  - (c) to close any Transaction deriving or involving a Manifest Error at the prevailing market price;
  - (d) to void the Transaction deriving or involving a Manifest Error.

Doo Prime shall take into account all market information prevailing at the time of the Manifest Error, determine whether the situation amounts to a Manifest Error and thereafter act fairly towards the Client.

- 7.4.10 Doo Prime shall not be liable for any loss, including loss of profits, income or opportunity to the Client suffered as a result of Manifest Error.
- 7.4.11 Doo Prime reserves the right to take the following action in the event of a Corporate Event:
  - (a) modify Margin;
  - (b) amend any necessary details in relation to the exercise, settlement, payment or terms related; and
  - (c) determine the effective date of such amendment.

## 7.5. CLIENT ASSET

- 7.5.1 All money transferred to Doo Prime by the Client shall be known as "Client Asset" for the purposes of this Agreement.
- 7.5.2 The Client Asset shall be held on trust by Doo Prime for the Client and the Client Asset shall be segregated from Doo Prime's bank account at all times. Doo Prime may hold the Client Asset and the money of other clients in the same account and it might not be possible to clearly segregate the Client's Money from the other client. Doo Prime shall retain the necessary records and Client Account details to distinguish this.
- 7.5.3 The Client acknowledges that Doo Prime may hold or deduct Client Asset on the Client's behalf in an account with an approved bank or third party where:



- (a) the account may be subject to set-off rights, security or lien by Doo Prime, the bank or third party, or Doo Prime is required to do so by any regulatory authority; and
- (b) in the event of the insolvency of the bank or the third party, Doo Prime shall not be liable for any loss or damages against the Client.
- 7.5.4 It is not Doo Prime's policy to pay interest on the Client Asset within the Account. The Client acknowledges and agrees in waiving any entitlement to any interest from the Client Asset.
- 7.5.5 Doo Prime may without prior notice, apply and/or transfer any or all funds in the Account in order to settle the Client's present, future or contingent liabilities owed to Doo Prime.
- 7.5.6 The Client consents in Doo Prime retaining any interest acquired from the Client Asset subject to Applicable Statutes And Regulations.

## 7.6. ACCOUNT MARGIN

- 7.6.1 The Client acknowledges and understands the high-risk nature of margin trading and the Client may lose funds greater than the amount deposited in the Account.
- 7.6.2 The Client undertakes to provide the Initial Margin in the Account to open a Transaction for any Services. Doo Prime reserves the right to reject the Client's instruction to open a Transaction if the Free Margin is less than the Margin required to secure this position.
- 7.6.3 When a Stop Out occurs, the Client understands that force closing of the opened positions shall occur without any prior notice to the Client. In the event there is additional amount due in the Account which arise after force closing of the opened positions, the Client undertakes to pay the amount balance due to Doo Prime.
- 7.6.4 Doo Prime reserves the right in refusing to open new positions and close any of the Client's highest loss-making positions without any prior notice to the Client in the event:
  - (a) the Client has three or more open positions in the Account; and
  - (b) the Margin Call occurs.
- 7.6.5 Doo Prime's delay or failure in exercising Clause 7.6.4 shall not waive Doo Prime's rights to do so in the future.
- 7.6.6 In the event Margin Call occurs, the Client is advised to:
  - (a) limit his trade exposure by closing trade; or
  - (b) deposit funds into the Account to meet the Margin Requirement.



- 7.6.7 Doo Prime reserves the right to restrict the amount and number of open positions if the Margin Requirement is not maintained by the Client.
- 7.6.8 Doo Prime may from time to time send the Client reminder in relation to the Client's Margin Requirement or the possibility of the Client's Margin Call occurring. Doo Prime reserves its rights to amend the Initial Margin, Margin Level and Margin Requirement at any time by giving three business days' notice prior the amendment's effective date. The Client undertakes to check and monitor the Client's Margin Level and Margin Requirement at all times.
- 7.6.9 Doo Prime reserves its rights to close open positions at market prices, limit the size of the Client's open positions, reject Transactions or change the Margin, including but not limited in the following circumstances;
  - (a) there is a change in volatility in the market which Doo Prime base, or to which Doo Prime in any way relate;
  - (b) there is a change in the Client's credit risk;
  - (c) a company whose investments or positions represents all or part of the Client's Transaction is undergoing a Corporate Event;
  - (d) a Force Majeure Event or Specified Default Event occurs;
  - (e) the Client failed to meet the Margin Requirements;
  - (e) any change to the Applicable Statutes And Regulations.
- 7.6.10 The Client acknowledges that additional payment of Margin may be necessary if:
  - (a) the Transaction fails or there has been a change in pricing of the Services;
  - (b) when the Account shows a negative balance; or
  - (c) Doo Prime reasonably thinks such payment is necessary to protect Doo Prime against loss or risk of loss on present, future or contemplated Transactions.
- 7.6.11 The Client acknowledges that when the Client opens a position, Doo Prime shall retain the right to transfer funds in the Margin Requirement to Doo Prime's designated bank account to secure any repayment obligation from the Client.
- 7.6.12 Margin Call and Stop Out, when triggered, shall supersede and take precedent over all Transactions in the Account.

## 7.7. DEPOSIT AND WITHDRAWAL OF FUNDS

7.7.1 Doo Prime reserves the right to set up the deposit and withdrawal limit of funds to and from the Account.



- 7.7.2 The Client shall be able to deposit funds through the methods mentioned on Doo Prime's website into the Account at any time as long the Account remains valid and active.
- 7.7.3 Doo Prime reserves the right to refuse any deposit of funds (by refunding the funds into the original method of deposit) or defer any deposit of funds and perform appropriate investigation within 14 calendar days (further extendable to additional 14 calendar days) in the event:
  - the funds are deposited by a third party (include circumstances where funds are unreasonable, doubtful or illegal, the amount deposited did not match the client's reported income, depository of funds in various methods, multiple IP addresses);
  - (b) Doo Prime has reasonable grounds to believe there is a violation of Applicable Statutes And Regulations or any legislation; or
  - (c) Doo Prime has reasonable grounds to believe that the user of the Client's Account is not authorized, or does not match the identity of the Client;
  - (d) Doo Prime has reasonable grounds to believe that the source of the funds is illegal or is in violation of Applicable Statues and Regulations, including but not limited when the client's profile did not match the deposit amount, multiple deposit, multiple IP address etc.
- 7.7.4 If Doo Prime receives any dispute, claim and/or chargeback from the banking institution or credit card issuer or other payment method used, the Client acknowledges that Doo Prime may enforce the following measures:
  - (a) with or without prior notice, immediately close any and all of the Client's open Transactions whether at a loss or profit and debit the Account with the disputed amount;
  - (b) with or without prior notice, immediately restrict the trading limit on the Client's Account, including deposit limit, withdrawal limit, and restriction in opening new positions, for a duration at Doo Prime's discretion; or
  - (c) terminate this Agreement in accordance with Clause 16.
- 7.7.5 The Client may withdraw Client Asset out from the Account if there is a positive cash balance in the Account. Doo Prime reserves the right to refuse or defer any withdrawal (including but not limited to withdrawal to another trading account) in the event:
  - (a) the withdrawal was to a bank account or electronic wallet owned by a third party;
  - (b) Doo Prime has reasonable grounds to believe there is a violation of Applicable Statutes And Regulations or any legislation;
  - (c) there are outstanding Fees from the Client to Doo Prime;

- (d) such withdrawal would result in a negative cash balance in the Account;
- (e) the Client's bank account details are incomplete or unverified;
- (f) the Client will have insufficient Free Margin if the funds are withdrawn;
- (g) a Specified Default Event or Force Majeure Event occurs.
- 7.7.6 The Client shall be solely responsible for the payment details submitted for withdrawal of funds. Doo Prime shall not be liable for any loss or damages if the payment details provided are inaccurate or incomplete.
- 7.7.7 Doo Prime shall take reasonably commercial efforts in ensuring the funds are withdrawn in a timely manner, but shall not be liable for any loss or damages if there is any delay in withdrawal of funds.
- 7.7.8 The Client shall bear all bank transfer fees and currency conversation rate applicable while withdrawing funds from the Account.
- 7.7.9 Doo Prime shall not be liable for any loss, costs or charges for exercising its rights in accordance with Clause 7.7.

#### 7.8. FEES AND CHARGES

- 7.8.1 The Client shall pay Doo Prime any applicable commissions, fees and charges as provided in the Trading Platform and provided by Doo Prime's payment service providers, including but not limited to additional value added taxes, any applicable tax, settlements and exchange fees, regulatory levies or legal fees applicable ("Fees") while entering into each Transaction. Unless agreed otherwise by Doo Prime, all Fees payable are due immediately and must be paid on entering into the Transaction.
- 7.8.2 Doo Prime reserves its discretion to charge the Fees separately after Transactions are executed. If this is adopted by Doo Prime, the Fees must be paid by a bank account or credit card in the Client's name. The Client shall bear any administration fee or currency conversation fee imposed by the Client's respective bank. Doo Prime shall send the Client the Fees payable with the respective details in electronic format or any other way which Doo Prime may adopt. The Fees are payable immediately and it is the Client's obligation to pay the Fees on time, failure which Doo Prime shall reserve its rights to commence legal proceedings. Failure or delay to send such statement shall not invalidate any Transaction and waive Doo Prime's right to claim for the Fees.
- 7.8.3 Doo Prime shall impose an interest rate of eight percent per annum calculated on a day to day basis commencing from the day after the relevant payment due date until the date of full payment thereof. The Client authorises Doo Prime to immediately deduct any Fees from the Account in the event the Fees is not paid or the Fees is overdue.
- 7.8.4 Doo Prime reserves the right to amend the Fees by notifying the Client within seven calendar days prior to the effective date.

7.8.5 The Client acknowledges that Doo Prime reserves its right to claim any outstanding Fees from banking institutions or third party which the Client has positive cash balance.

#### 8. CONFLICT OF INTERESTS

- 8.1 Doo Prime, its associates, related companies, directors or employees connected to Doo Prime may have an interest, relationship or arrangement that is material in relation to any Transaction or Services. The Client acknowledges that and agrees that Doo Prime may proceed with any Transaction or Services without prior reference to any potential specific conflict of interest, including any benefit, profit, commission, interest or other remuneration made or received by reason of any Transaction or any related transaction or position.
- 8.2 The Client acknowledges that:
  - (a) this Agreement shall not give rise to any fiduciary relationship between Doo Prime and the Client;
  - (b) Doo Prime may assign or transfer the execution or completion of any Transaction to its associates or related companies;
  - (c) Doo Prime may establish business or transactions with business partners or financial institutions which Doo Prime may have a financial interest in them; and
  - (d) that Doo Prime or its related companies may have interests which conflict with the Client's interests or owe duties which conflict with duties owed to the Client, and the Client consents to Doo Prime and its related companies acting in any manner which Doo Prime considers appropriate in such cases subject to the Applicable Statutes And Regulations.

#### 9. DEFAULT AND DEFAULT REMEDIES

- 9.1 A "Specified Default Event" in relation to the Client means any of the following:
  - (a) the Client failed to pay the Fees to Doo Prime when the Fees are due;
  - (b) the Client violated or breached of Applicable Statutes And Regulations or any legislation;
  - (c) Doo Prime considers it is necessary to prevent a violation of Applicable Statutes And Regulations;
  - (d) the Client failed to pay or meet the Margin required;
  - (e) the Client breaches any material term of this Agreement;
  - (f) the Client breaches any of the representation and warranties in Clause 6;
  - (g) the Client infringe any Intellectual Property Rights in Clause 13;



- (h) the Client has made any material misrepresentation or committed fraudulent acts against Doo Prime;
- (i) an insolvency or bankruptcy proceeding in any country has been commenced against the Client;
- (j) the Client is subject to any material debt restructuring arrangement with the Client's creditors;
- (k) any securities created by the Client's security interest, mortgage, charge over any of the Client's assets is enforced by the creditor or banking institution against the Client;
- (I) any distress, execution or other process is levied against any of the Client's property and is not removed, discharged or paid within 7 days;
- (m) any Transaction or combination of Transactions or any realised or unrealised loss on any Transaction or combination of Transactions executed by the Client exceeded the credit limit available to the Client;
- (n) the Client is dead or becomes of unsound mind;
- (o) the Client failed to provide any information requested in relation to any verification or investigation processes undertaken by Doo Prime;
- (p) the Client used any type of virus or other destructive malware that are designed to distort or damage the Trading Platform or the communication system of Doo Prime;
- (q) Doo Prime or the Client is required to terminate this Agreement and the Account by any regulatory authority;
- (r) the Client has breached any other Doo Prime's policies or procedures;
- (s) Doo Prime has reasonable grounds to believe that the Client has not acted in good faith;
- (t) Doo Prime has reasonable grounds to believe that there were errors in the Transactions made; or
- (u) any other circumstances where Doo Prime has reasonable grounds to believe that it is necessary to exercise its rights under Clause 9.2.
- 9.2 Where any of the Specified Default Event specified in Clause 9.1 occurs, Doo Prime may at any time thereafter reserves the right to:
  - (a) terminate any ongoing and future Transaction;
  - (b) terminate this Agreement in accordance with Clause 16;
  - (c) close all the Client's position and opened positions at the prevailing market rate and refund Client Asset subject to Clause 10;



- (d) restrict the Client's trading activity, including but not limited to deposit limit, withdrawal limit and limit of the opening positions;
- (e) suspend or terminate the Account.
- 9.3 Doo Prime shall whenever reasonably possible take steps to notify the Client before exercising any of the rights under Clause 9.2. Doo Prime shall not be obliged to notify the Client and failure to do so shall not invalidate any act or effect described in Clause 9.2 by Doo Prime.
- 9.4 The Client acknowledges that Doo Prime shall not be liable for any loss or damages for exercising Clause 9.2.

# 10. DOO PRIME'S RIGHT OF SET OFF IN RELATION TO THE CLIENT'S ACCOUNT

- 10.1 Subject to Clause 10.2 and Clause 10.3, Doo Prime may at without prior notice retain or make deductions from any obligation, payment or amount owed by Doo Prime to the Client to repay any amount due from the Client to Doo Prime, including but not limited to loss and damages suffered by Doo Prime, Doo Prime's related companies, affiliates, payment services providers, payment gateway providers and their respective banking services providers as a result of the Client's breach of this Agreement.
- 10.2 In the event the Fees is not paid, the Fees is overdue or an insolvency or bankruptcy proceeding has been initiated against the Client, the Client authorises Doo Prime to immediately sell, apply, set off, close any or all Client's investment and/or position and/or proceed of any of the same of which Doo Prime, Doo Prime's holding company or Doo Prime's subsidiary has custody or control, to discharge any or all of the Client's obligations and/or Fees to Doo Prime.
- 10.3 Subject to Clause 10.2, the Client authorizes Doo Prime to buy, sell or liquidate all or any of the Client's investment in the Account as Doo Prime deems fit whereby:
  - (a) if there is positive cash balance after deducting the Fees due, Doo Prime shall pay the Client the fair market value of such investments subject to any associated costs and expenses in transferring the money into the Client's bank account;
  - (b) if there is outstanding Fees due after selling the Client's investments or closing the Client's position, the remaining balance shall be immediately due and payable to Doo Prime, failure which Doo Prime shall reserve its rights to commence legal proceedings.
- 10.4 Doo Prime reserves the right to consolidate all or any of the Account to set off any amount owed by the Client to Doo Prime.
- 10.5 This Clause shall not waive Doo Prime's right in exercising Margin Call under this Agreement.

## 11. CLIENT'S PERSONAL DATA PROTECTION

11.1 The Client acknowledges and agrees that by entering into this Agreement and by opening an Account with Doo Prime, the Client will be providing Doo Prime with personal data within the meaning of EU General Data Protection Regulation 2016/679 ("Personal Data"). The Client



acknowledges and agrees that the Personal Data shall be processed by Doo Prime in accordance with this Agreement and the Privacy Policy available on Doo Prime's website.

- 11.2 Personal Data collected by Doo Prime includes but not limited to the following:
  - (a) the Client's personal details, e.g. name, telephone number, email address;
  - (b) identity verification documents, e.g. ID, passport, utility bills;
  - (c) financial details, e.g. bank account, payment card information;
  - (d) information about the Client's income and wealth including details about the Client's assets and liabilities, account balances, trading statements, tax and financial statements;
  - (e) profession and employment details;
  - (f) transaction data, i.e. all information and details related to any Transactions made;
  - (g) the Client's use of Services, including but not limited to the pages the Client has visited; and
  - (h) technical information, including but not limited to the Client's devices, type and version of the operating system, time zone.
- 11.3 The Client consents and allows Doo Prime in processing the Personal Data:
  - (a) to perform Doo Prime's contractual obligations under this Agreement;
  - (c) to perform anti-money laundering checks, 'Know Your Customer' ("KYC") documentation in accordance with the Anti Money Laundering and Know Your Customer Policy available on Doo Prime's website or other legal and regulatory compliance;
  - (c) to monitor and record calls for business analysis, training or service improvement purposes;
  - (d) to monitor and record calls and electronic communications for processing and verification of instructions;
  - (e) ensure that the Client meet the suitability requirements needed to use Doo Prime's Services;
  - (f) to manage the Client's Account; and
  - (g) in providing suitable marketing materials or Material to the Client.
- 11.4 Under EU General Data Protection Regulation 2016/679 ("GDPR"), the Client retains the right to:



- (a) access the Client's Personal Data and inquire Doo Prime whether the Personal Data is being processed;
- (b) rectify or amend the Client's Personal Data;
- (c) restrict the processing of Personal Data;
- (d) object against Personal Data processing for direct marketing purposes; or
- (e) demand Doo Prime to delete and erase the Client's Personal Data.
- 11.5 The Client acknowledges that Doo Prime may need the Client's Personal Data to fulfil its obligation under this Agreement, and as such the Client's demand for deletion of the Client's Personal Data may result in termination of the Client's Account and this Agreement in accordance with Clause 16.
- 11.6 Doo Prime shall retain the Client's Personal Data as long as the Client's Account remains active and valid, and may retain the Client's Personal Data up to seven years after the Account and this Agreement with the Client is terminated. The Client's Personal Data may be retained for more than seven years subject to any Applicable Statutes And Regulations, acts or policies by Relevant Regulatory Authorities and Doo Prime's internal policies.
- 11.7 Doo Prime may share the Personal Data to third parties:
  - (a) under any Applicable Statutes And Regulations;
  - (b) in compliance with legal and/or court orders obligations;
  - (c) in compliance with any requests made by legal or regulatory authorities;
  - (d) necessary to perform Doo Prime's obligation under this Agreement, including but not limited to Doo Prime's associates or related companies; or
  - (e) when the Client has given Doo Prime consent.
- 11.8 In compliance with the GDPR, Doo Prime shall not transfer any Personal Data outside the European Economic Area ("EEA") or a European Commission recognised non-EEA country without taking reasonable steps in ensuring:
  - (a) the third party has an adequate, appropriate and sufficient level of protection for the rights and freedoms of the Client in relation to the processing of Personal Data;
  - (b) there are adequate, appropriate and sufficient security measures in place to protect the Personal Data;
  - (c) the Client has enforceable rights and effective legal remedies for any breach of personal data protection law and regulation;
  - (d) the third party comply with its obligations under any applicable data protection laws and regulations; and/or
  - (e) binding corporate rules or standard data protection clauses approved by the European Commission are in place.



11.9 Doo Prime has taken all reasonable commercial standards of technology and operational security to safeguard the Client's Personal Data and mitigate potential risks of security breach. However, Doo Prime cannot guarantee on the absolute protection and security of the Personal Data. The Client acknowledges that Doo Prime shall not be liable for any malicious and fraudulent act committed by third party beyond Doo Prime's control provided that Doo Prime has taken all reasonable commercial standard of care and has not been negligent in safeguarding the Client's Personal Data.

## 12. CONFIDENTIALITY

- 12.1 The Parties agree and undertake with each other to keep confidential and not disclose to any person, other than that party's professional advisers, directors, officers or employees, any Confidential Information or any information provided to it by the other party which in any way relates to this Agreement and the matters contemplated herein except:
  - (a) with the prior written consent of the other Party respectively;
  - (b) as may be required by law, any regulatory authority or any stock exchange;
  - (c) as may be required to investigate or prevent any illegal activity;
  - (d) to execution venues or any third party as necessary to carry out Transactions and for purposes ancillary to the provision of the Services, and the Client acknowledges that such third party may conduct independent due diligence on Client;
  - (e) in accordance with the order of a court of competent jurisdiction; or
  - (f) with the Client's consent.
- 12.2 The Client agrees not to use the information provided by Doo Prime concerning the Services in any way other than to perform any obligations and rights under this Agreement.
- 12.3 The restriction in Clause 12.1 shall not apply to any information which is in or comes into the public domain otherwise than by reason of any breach of this restriction.
- 12.4 The obligations in this clause 12 shall survive the termination of this Agreement for any reason whatsoever for seven years from the date of such termination, but not longer.

## 13. DOO PRIME'S INTELLECTUAL PROPERTY RIGHTS

- 13.1 All Intellectual Property Rights and other intellectual property rights in the Trading Platform shall remain at all times the sole and exclusive property of Doo Prime and/or its third party service providers and/or Doo Prime's licensors. Any usage of intellectual property rights of Doo Prime's third party service providers and/or Doo Prime's licensors shall subject to the terms and conditions provided by such providers.
- 13.2 Subject to the terms and conditions in this Agreement, Doo Prime grants the Client a nonexclusive, revocable and non-transferable licence to access and download the Trading Platform to access Services and perform Transaction on the Trading Platform. The Client

only has the licence to use the Trading Platform in accordance with this Agreement and shall not have any rights in relation to any of the Intellectual Property Rights.

- 13.3 The Client undertakes not to:
  - (a) copy, reproduce, translate, duplicate, use, enhance, decompile, decode, disassemble, distribute, sell, transmit, lend, pledge, transfer, alter, tamper, amend, modify, reverse engineer, sub-licence the Trading Platform or any Intellectual Property Rights or its source code;
  - (b) publish, distribute, make available to third parties any information related to the Trading Platform or any Intellectual Property Rights;
  - (c) remove or destroy any copyright notices of the Trading Platform or any Intellectual Property Rights;
  - (d) use, recreate, copy, redistribute any of Doo Prime's, Doo Prime's third party service providers, or Doo Prime's licensors Intellectual Property Rights;
  - (e) carry out any data collection, or use data mining, screen-scraping, optical recognition software, image makers, artificial intelligence, automated programme or other similar data gathering and extraction tools on the Trading Platform;
  - (f) attempt to gain or allow unauthorised access to the Trading Platform or any Intellectual Property Rights;
  - (g) upload or transmit computer virus or other programmes to disrupt or destroy the normal operation of the Trading Platform;
  - (h) use the Trading Platform in any manner not permitted by this Agreement.
- 13.4 The licence granted in Clause 13.2 shall be revoked immediately and the Client undertakes not to use the Trading Platform any further if:
  - (a) the Account or this Agreement is terminated;
  - (b) a Specified Default Event occurs;
  - (c) the Client breaches any material terms and conditions of this Agreement; or
  - (d) the Client breaches Clause 13.3.
- 13.5 The Client shall immediately notify Doo Prime any violation of Doo Prime's, Doo Prime's third party service providers, or Doo Prime's licensors Intellectual Property Rights.

#### 14. CLIENT'S INDEMNITY AGAINST DOO PRIME

14.1 Save as otherwise expressly provided herein, the Client agrees and undertakes with Doo Prime to indemnify and hold Doo Prime, Doo Prime's related companies, licensors, third party service providers, affiliates, payment services providers, payment gateway providers and their respective banking services providers, harmless from and against any damage or loss



suffered, incurred or sustained by that Doo Prime, or to which that Doo Prime becomes subject, resulting from, arising out of or relating to any misrepresentation, breach of warranty or non-fulfilment of or failure to perform any covenant or obligation contained in this Agreement by the Client.

14.2 The Client acknowledges that this responsibility shall include but not limited to any legal and administrative costs and expenses incurred.

## 15. EXCLUSION AND LIMITATION OF LIABILITY BY DOO PRIME

- 15.1 Doo Prime's Services, Trading Platform, services by Doo Prime's payment service providers and other service providers shall be provided on "as is, where is" basis. Doo Prime makes no express or implied representations or warranties:
  - (a) as to the availability, accuracy or completeness of the Trading Platform, Services, services by Doo Prime's payment service providers and other service providers;
  - (b) that the Trading Platform, Services, website, services by Doo Prime's payment service providers and other service providers shall or will be uninterrupted, error-free, or available at all times;
  - (c) that the Trading Platform, Services, website, services by Doo Prime's payment service providers and other service providers are free from viruses, bugs or anything else with destructive properties;
  - (d) as to the services or software provided by Doo Prime's third party service providers, Doo Prime's licensors or Doo Prime's outsourced parties;
  - (e) as to the hyperlinks on Doo Prime's website linking to other third party website;
  - (f) as to the compatibility of the Client's computer equipment with the Trading Platform; and
  - (g) as to the act or omission by the Client or any third parties on the Client's behalf in relation to the Client's Transaction with Doo Prime.
- 15.2 Subject to the duties and obligations owed by Doo Prime to the Client under Applicable Statutes And Regulations, Doo Prime shall not be liable for any direct, indirect, consequential, incidental loss, loss of profits, loss of goodwill, reputational damage and loss of opportunity as a result of (including but not limited to) the following:
  - (a) any item in Clause 15.1;
  - (b) the Client's breach of any Applicable Statutes And Regulations or this Agreement;
  - (c) any viruses or security breaches introduced into the Client's equipment or systems via Doo Prime's website or any software published, provided that Doo Prime has taken reasonable steps to prevent any such introduction;
  - (d) transmission errors, delay, technical faults, malfunctions, illegal intervention in network equipment, network overloads, malicious blocking of access by third parties, internet



malfunctions, interruptions or other deficiencies on the part of internet service providers;

- (e) accuracy, completeness or delay of the information or advice provided by a third party;
- (f) Doo Prime's compliance with the Applicable Statutes And Regulations;
- (g) unauthorised access to the Client's Account or Personal Data due to the Client's negligence or malicious third parties;
- (h) any Force Majeure Event under Clause 17;
- (i) any investments, expenditures, commitments or third party services engaged by the Client in connection with this Agreement or the Client's access to Services;
- (j) any alteration, deletion, damage to the Client's data storage;
- (k) any inaccuracy, error, delay, omission, non-performance, interruption in any data, information or message;
- (I) any hardware, software, connection bugs from the Client's side;
- (m) solvency, acts or omissions of any Doo Prime's licensors, providers or related companies;
- (n) the Transactions entered by the Client;
- (o) any of the risks and warnings introduced to the Client in this Agreement and the Risk Disclosure And Acknowledgement Notice online;
- (p) Client's reliance on Stop Loss;
- (q) the Client's reliance on any additional third party trading features;
- (r) Doo Prime's exercise of Margin Call and Stop Loss; and
- (s) any cause beyond our reasonable control and the effect of which is beyond our reasonable control to avoid.
- 15.3 Nothing in the Agreement excludes or limits each other Party's liability for fraudulent or negligent misrepresentation, or any matter that cannot be excluded or limited under Applicable Statutes And Regulations.
- 15.4 If this Clause 15 is not acceptable by the Client, the Client should notify Doo Prime to close and terminate any Account registered. Doo Prime shall not be liable for any loss or damages as a result of such termination.

#### 16. TERMINATION OF AGREEMENT



- 16.1 Without prejudice to any accrued Parties' rights and remedies and other provisions of this Agreement, either Party may give the other Party notice in writing to forthwith terminate this Agreement in fourteen calendar days.
- 16.2 Upon the occurrence of any of the following events and without prejudice to the Party's rights under this Agreement, Doo Prime may (but shall not be obliged to) immediately or give notice in writing to the Client to forthwith terminate this Agreement:
  - (a) any Specified Default Event occurs;
  - (b) any Force Majeure event occurs; or
  - (c) the Client breaches any material terms and conditions of this Agreement.
- 16.3 Upon the termination of this Agreement pursuant to clause 16.2 herein, the outstanding Fees payable by the Client to Doo Prime, including but not limited to the following, shall become immediately due:
  - (a) any dealing expenses incurred by terminating the Agreement and charges incurred for transferring the Client's investments to another investment firm;
  - (b) all outstanding Fees and any other amount payable to Doo Prime;
  - (c) any loss and expenses realised in closing out any Transactions or settling or concluding outstanding obligations incurred by Doo Prime on the Client's behalf;
  - (d) any charges and additional expenses incurred or to be incurred by the Doo Prime as a result of the termination of the Agreement;
  - (e) any damages which arose during the arrangement or settlement of pending obligations.

Doo Prime shall return to the Client all cash balance available within 10 business days subject to Clause 10 after termination of this Agreement. In the event Doo Prime is unable to refund the cash balance to the Client, Doo Prime reserves our right to refund the cash balance to the applicable unclaimed money authority in the relevant jurisdiction.

- 16.4 Doo Prime reserves its full legal rights in claiming:
  - (a) any expenses incurred by Doo Prime as a result of termination of this Agreement; and
  - (b) any loss or damages pursuant to an arrangement or settlement post termination of this Agreement.
- 16.5 Upon notification of termination, Doo Prime shall proceed with all Transactions entered into or under execution and the terms and conditions of this Agreement shall be applicable until all pending Transactions has been completed. The Client will be subsequently required to close all open positions and Doo Prime shall refuse in opening new positions for the Client.



- 16.6 The termination of the Client's Account shall terminate the Client's right and licence to use the Trading Platform, Services, all and any software and solutions made available to the Client by Doo Prime.
- 16.7 Doo Prime's rights in this Clause 16 shall not be limited to other rights available in any Applicable Statutes And Regulations. The Client acknowledges that Doo Prime shall not be liable for any loss or damages in exercising its rights in Clause 16.
- 16.8 Upon any termination of this Agreement under clauses 16.1 or 16.2, or otherwise pursuant to this Agreement, without prejudice to any right of any party which shall have accrued under this Agreement prior to such termination, the obligations of the parties under this Agreement shall cease save in respect of the obligations of the parties in Clause 12, Clause 13, Clause 14, Clause 15, Clause 20 and Clause 22 herein.

# 17. FORCE MAJEURE EVENT

- 17.1 Doo Prime may reasonably determine that an emergency or an exceptional market condition exists ("Force Majeure Event"), in which case Doo Prime shall inform the Relevant Regulatory Authorities and take reasonable steps to inform the Client. A Force Majeure Event includes but is not limited to the following:
  - (a) any act of God, flood, fire, war, riot, civil commotion, natural catastrophe, strike, act of government, labour disputes, lock-out, government moratorium, act of terrorism, national emergency, virus outbreak, change of law or regulation, or any other supervening event of whatsoever nature in relation to nature, technological, political, governmental, social, economic, pandemic, civil emergency, act of terror beyond the reasonable control of Doo Prime, and which prevents that Doo Prime's performance of, or which makes it unduly onerous on Doo Prime to perform, its obligations hereunder;
  - (b) declaration of financial services moratorium by Relevant Regulatory Authorities;
  - (c) the suspension or closure of any market or the abandonment or failure of any event on which Doo Prime base, or to which Doo Prime in any way relate, Doo Prime's quote, or the imposition of limits or special or unusual terms on the trading in any such market or on any such event;
  - (d) any performance or non-performance by a third party, destruction caused by others or any similar event, which is outside Doo Prime's reasonable control;
  - (e) any act or omission or policies by regulatory authorities or other institutions beyond Doo Prime's foreseeability;
  - (f) the occurrence of an excessive movement in the level of any Transaction and/or any corresponding market or Doo Prime's anticipation (acting reasonably) of the occurrence of such a movement;
  - (g) any breakdown or failure of transmission, communication, network, interruption of power supply, cybersecurity attack or electronic or communications equipment failure in Doo Prime, Doo Prime's third party or service providers;
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- (h) any event that Doo Prime is unable to predict or prevent resulting in the Trading Platform's failure to function on a normal basis;
- (i) failure of any relevant supplier, intermediate broker, agent or principal of Doo Prime, custodian, sub-custodian, dealer, exchange, clearing house or regulatory or self-regulatory organisation, for any reason, to perform its obligations; or
- (j) any event, act or circumstances not reasonably within Doo Prime's control and the effect of that event is such that Doo Prime is not in a position to take any reasonable action to cure the default.
- 17.2 If any of the foregoing events occur and Doo Prime claims a Force Majeure Event in relation thereto, Doo Prime may at its absolute discretion take the following steps in good faith:
  - (a) to vary the terms of this Agreement to avoid, in whole or in part, the effect of such event;
  - (b) modify or amend the Client's Margin;
  - (c) reject or terminate any ongoing Transaction;
  - (d) close any or all of the Client's open Transactions and/or positions at such closing prices as Doo Prime reasonably believe to be appropriate;
  - (e) alter the trading time for a particular Transaction;
  - (f) suspend or freeze the Trading Platform, all Transaction and Account;
  - (g) modify, amend or remove any Services;
  - (h) decrease leverage or any credit facility;
  - (i) exercise any right entitled under this Agreement and the Execution Policy;
  - (j) to otherwise treat this Agreement as having become frustrated by such event, in which case this Agreement shall forthwith terminate; and/or
  - (k) take or omit to take all such other actions as Doo Prime deems to be reasonably appropriate in the circumstances with regard to the position of Doo Prime and Client.
- 17.3 Doo Prime shall not be liable to the Client for any direct or indirect loss or damages, including but not limited to financial loss, loss of opportunity or any other kind of loss arising from a Force Majeure Event.

## 18. PARTIES' COMMUNICATION

- 18.1 Any offer to open or close a Transaction must be made by the Client via the Trading Platform. Doo Prime shall not be liable for any loss or damages from:
  - (a) inaccuracies, mistakes or errors by the Client while performing the Transaction;
  - (b) any Transaction performed due to unauthorised access to the Client's Account.



- 18.2 Doo Prime shall communicate with the Client using the contact details provided during Account registration and any subsequent details updated. Any communication (including without limitation to any Account statements, Transaction details, Fees details, legal documents, notice, consent and similar document) required or permitted to be given or served under this Agreement shall be in writing and may be:
  - (a) delivered by electronic means;
  - (b) delivered personally; or
  - (c) sent by registered post or registered airmail.
- 18.3 Any communication given or served in accordance with clause 18.2 shall be deemed to have been duly given or served:
  - (a) if sent by registered post or registered airmail, two business days after the date it is lodged with the postal authorities for postal despatch. If the delivery address is within the jurisdiction, five business days after the date it is lodged with the postal authorities for postal despatch. If the delivery address is beyond the jurisdiction, ten business days after the date it is lodged with the postal authorities for postal despatch;
  - (b) if delivered personally, at the time of delivery;
  - (c) if sent by electronic means, immediately during business bours at its destination or, if not within business hours, at the opening of the next period of business hours.
- 18.4 The Client acknowledges and undertakes that:
  - (a) Doo Prime may record any communication between Doo Prime and the Client and such recordings shall be the sole property of Doo Prime and may be used as evidence of communications in legal proceedings;
  - (b) any instruction and communication transmitted by the Client or on the Client's behalf is made at the Client's risk and Doo Prime is authorised to rely and act on, and treat as fully authorised and binding upon the Client, any instruction which Doo Prime believe in good faith to have been given by the Client or the Client's agent;
  - (c) the official language of this Agreement shall be in English;
  - (d) the Client may appoint an authorised person in accordance with KYC documentation, and the Client authorises Doo Prime to contact the authorised person to fulfil its obligations in relation to Applicable Statutes And Regulations;
  - (e) to check and read all notices published on Doo Prime's website and Trading Platform;
  - (f) failure or delay in receiving any communication from Doo Prime due to software, telecommunications or other electronic system error shall not invalidate or prejudice that communication and Doo Prime shall not be liable for such failure or delay in communication;



(g) to check the trading statements posted monthly where any Manifest Error shall be conclusive if it is not communicated to Doo Prime.

#### 19. CLIENT'S COMPLAINT

- 19.1 The Client shall report all complaints to Doo Prime in writing within three business days from the occurrence of the event that gives rise to the complaint. In the event the Client failed to do so, the Client shall be deemed to have waived his right for any claim against Doo Prime.
- 19.2 Upon receiving the complaint and all necessary information from the Client, Doo Prime shall proceed to investigate the complaint, take any necessary action and update the Client from time to time.
- 19.3 Without prejudice to the Client's right, Doo Prime may close any Transaction involved in a dispute with the Client without prior notice where Doo Prime reasonably believes it is necessary to limit the monetary claim in the dispute.

#### 20. GOVERNING LAW AND JURISDICTION

- 20.1 This Agreement shall be governed by and construed in all respects in accordance with the laws of the following jurisdictions, as may be applicable:
  - (a) Republic of Seychelles;
  - (b) Republic of Mauritius; or
  - (c) Republic of Vanuatu.
- 20.2 Nothing in this Agreement shall limit or prohibit Doo Prime's right to initiate legal proceedings against the Client in other courts of competent jurisdictions, and the legal proceedings in one or more jurisdictions shall not preclude the initiation of legal proceedings in any other jurisdiction.

#### 21. ASSIGNMENT OF RIGHTS

- 21.1 This Agreement shall be binding upon the respective heirs, successors-in-title, estates, personal representatives and permitted assigns of the Client. The Client shall not assign any of its rights and obligations hereunder without the prior consent of Doo Prime.
- 21.2 The Client acknowledges and agrees that Doo Prime may sell, transfer, assign or novate Doo Prime's rights or obligations under this Agreement or the performance of the entire Agreement, in Doo Prime's sole discretion, to a successor of all or substantially all of Doo Prime's business or assets (in the event of merger or acquisition of the Doo Prime with a third party, reorganisation of the Doo Prime, winding up of the Doo Prime or sale or transfer of all or part of the business or the assets of Doo Prime to a third party), without the Client's prior written consent by providing notice in writing within a reasonable period. Subject to the foregoing, this Agreement will bind and inure to the benefit of the parties and their respective successors and is not intended to confer any other entity or person any rights or remedies hereunder.



21.3 The Client agrees that in the event of a transfer, assignment or novation described in paragraph 21.2 above, Doo Prime shall have the right to disclose and/or transfer all Client's information (including without limitation personal data, recording, correspondence, due diligence and client identification documents, files and records, the Client trading history) transfer the Account and the Client Asset as required, subject to providing prior notice to the Client.

## 22. MISCELLANEOUS

- 22.1 Time, wherever mentioned in this Agreement, shall be of the essence.
- 22.2 This Agreement shall not be construed as a joint venture or partnership between Doo Prime and the Client. The Client acknowledges that Doo Prime may provide the Trading Platform to other parties and clients, and agree that nothing in this Agreement shall prevent Doo Prime from providing such services.
- 22.3 Without prejudice to any other rights or remedies a party may have, each Party acknowledges and agrees that damages may not be an adequate remedy for any breach of this Agreement and the Parties shall be entitled to the remedies of injunction, specific performance and other equitable relief for any threatened or actual breach of this Agreement.
- 22.4 No exercise or failure to exercise or delay in exercising any right, power or privilege vested in any party shall operate as a waiver thereof or of any other right, power or privilege, nor shall any single or partial exercise of any right, power or privilege preclude any other or further exercise thereof or the exercise of any other right, power or privilege. Any waiver by a party of a breach of any provision of this Agreement shall not be considered as a waiver of any subsequent breach of the same or any other provision hereof.
- 22.5 If any provision of this Agreement or the application thereof to any situation or circumstance shall be invalid or unenforceable, the remainder of this Agreement shall not be affected, and each remaining provision shall be valid and enforceable to the fullest extent. In the event of such partial invalidity, the Parties agree in good faith to replace any such legally invalid or unenforceable provision with valid and enforceable provisions that, from an economic viewpoint, most nearly and fairly approach the effect of the invalid or unenforceable provision.
- 22.6 Unless otherwise provided, the rights and remedies provided in this Agreement are cumulative and not exclusive of any right or remedy otherwise provided by law.
- 22.7 This Agreement constitutes the entire understanding and agreement between the Parties and supersedes all negotiations, commitments and writings prior to the date hereof pertaining to the subject matter of this Agreement.
- 22.8 The official language of this Agreement shall be English. Doo Prime may provide this Agreement in other languages for information purposes only and in the event of any inconsistency or discrepancy between the English version of this Agreement and any other language version, the English version shall prevail.
- 22.9 Doo Prime is unable to advise the Client on any tax matters and the Client should seek a professional tax adviser for advice.

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## 客户协议

2023年5月5日更新

## 1. 介绍

- 1.1 Doo Prime 在线交易服务须遵守本客户协议("协议")中的条款和条件。
- 1.2 本协议由 Doo Prime 与具有成年和法定年龄的人(在客户各自的管辖范围内)或法人实体("客 户")签订。本协议规定管理 Doo Prime 客户账户和交易的条款和条件。
- 1.3 通过在 Doo Prime 创建帐户,客户承认他已阅读、完全理解并接受以下内容,并愿意与 Doo Prime 签订具有法律约束力的协议:
  - (a) 这项协议;
  - (b) Doo Prime 网站上提供的风险披露和确认通知;
  - (c) Doo Prime 网站上提供的隐私政策;
  - (d) Doo Prime 网站上的退款政策;
  - (e) Doo Prime 网站上提供的执行政策;
  - (f) Doo Prime 网站的网站条款和条件;和
  - (g) Doo Prime 网站上提供的反洗钱和反恐融资政策。
- 1.4 客户使用或继续使用 Doo Prime 的服务也应视为客户同意受本协议、第 1.3 条所述的任何文件及其在 Doo Prime 网站上发布文件后的后续修订的法律约束。
- 1.5 本协议应在客户在 Doo Prime 上创建账户后立即生效,并无限期持续到客户或 Doo Prime 终止账户为止。
- 2. 定义和解释
- 2.1 在本协议中,除非上下文另有要求,以下词语和表达应具有以下含义:
  - (a) "账户"是指客户在 Doo Prime 开立交易账户时为客户创建的指定账户,包括但不限于 交易账户、现金账户、金融衍生品账户和电子钱包;;
  - (b) "协议"指本客户协议;
  - (c) "适用的法规和条例"是指:

- (i) 相关监管机构的法规、规则或命令;
- (ii) 客户管辖范围内相关监管机构的法规、规则或命令;
- (iii) 相关金融交易市场的规则; 和
- (iv) 本协议的所有其他适用法律(以及适用于本协议的不时修订的每项法律)。
- (d) "基础货币"是指维持客户账户和所有交易在账户上结算的货币;
- (e) "客户资产"是指所有现金或现金等价物,包括但不限于现金、支票、债券、证券、房 地产、抵押品、保险金/保单、电子货币、各种财产和其他金融衍生品,通过以下方式 转让给 Doo Prime 账户中的客户;
- (f) "机密信息"是指与提供这些信息的人的业务、产品、服务、人员或商业活动有关的所有信息,包括但不限于交易、买卖、策略、客户和供应商、财务账户、计算机程序、计算机数据、设备、概念、发明(无论是否能够获得专利)、设计、方法、技术、营销和商业策略、客户名单、潜在客户名单、流程、数据概念、专有技术、公式和单独的独特组合可能是或可能不是机密的项目,这些信息通常不为公众所知,并且所有此类信息在披露时都将被视为机密信息,无论是否标有任何专有通知或图例;
- (g) "公司事件"是指客户投资的公司正在破产、停牌或进行任何重大的公司重组,包括但 不限于股票回购、公司收购、并购;
- (h) "Doo Prime"是指以下任何一种实体,如适用:
  - Doo Prime Seychelles Limited, 塞舌尔共和国。Doo Prime Seychelles Limited 是一家持牌证券交易商, 受塞舌尔金融服务管理局授权和监管, 监管编号为 SD090;
  - (ii) Doo Prime Mauritius Limited,毛里求斯共和国。Doo Prime Mauritius Limited 是一家持牌投资交易商,受毛里求斯金融服务委员会授权和监管,监管号为 C119023907;
  - (iii) Doo Prime Vanuatu Limited, 瓦努阿图共和国。Doo Prime Vanuatu Limited是
    一家持牌金融交易商,由瓦努阿图金融服务委员会授权和监管,监管号为
    700238。

- (i) "净值"是指 Doo Prime 账户内的现金余额和未平仓头寸的价值,由所有未平仓头寸的 损益之和计算得出;
- (j) "费用"指交易平台提供的任何适用的佣金、费用和收费,包括但不限于附加增值税、任何适用的税款、结算和兑换费用、监管征费或适用的法律费用;
- (k) "可用保证金"是不涉及为未平仓头寸提供担保的可用保证金,应由公式(可用保证金= 净值-保证金)推导出来;
- (I) "不可抗力事件"指第 17 条规定的任何事件;
- (m) "GDPR"是指欧盟通用数据保护条例 2016/679;
- (n) "初始保证金"是指交易平台上不时为每项服务指定客户账户中执行交易所需的最低金额;
- (o) "知识产权"是指以下任何或所有内容:
  - (i) 计算机程序(对象和源代码)的版权以及屏幕上显示的图像和产生的声音的版权,包括其所有可能的组合和序列以及相同的底层脚本;
  - (ii) 艺术品的商标,包括但不限于图片、图形、视觉、音频、视听、数字、文学、 动画、雕塑或任何类型的创作、应用程序、动画、绘图、设计、草图、视觉效 果拍摄日志和 人物简介;
  - (iii) 所有商业秘密和专有技术;
  - (iv) 软件和门户网站; 和
  - (v) 专利和专利申请;

无论现在是否存在,是否已注册或可注册,包括申请注册此类权利的任何权利,包括所有续展和延期;

- (p) "明显错误"是指 Doo Prime或Doo Prime 合理依赖的任何市场、交易所、银行机构、信息来源、任何第三方的错误或错误引用;
- (q) "保证金"是指初始保证金和保证金要求的统称;

(r) "保证金水平"应从公式中得出(净值/保证金 x 100%);

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- (s) "追加保证金"是指交易平台提供的保证金水平的价值;
- (t) "保证金要求"是指交易平台上规定的客户账户中保持交易平台上未平仓头寸所需的最低金额;
- (u) "材料"是指第3条所述的由第三方分发的任何材料和信息;
- (v) "一方"是指客户或 Doo Prime (统称"各方");
- (w) "个人数据"是指客户提供的个人数据,应具有 GDPR 下的含义;
- (x) "相关监管机构"是指可能适用于 Doo Prime 业务运营和服务提供商的相关监管机构, 包括但不限于美国证券交易委员会、美国金融业监管局、英国金融行为监管局、澳大利亚证券和投资委员会、欧洲证券和市场管理局、塞舌尔金融服务管理局、毛里求斯金融服务委员会、瓦努阿图金融服务委员会等。
- (y) "服务"是指 Doo Prime 在交易平台内向客户提供的交易服务;
- (z) "特定违约事件"是指第9条中规定的任何事件;
- (aa) "止损"是指将未平仓头寸平仓以达到一定价格水平以减少损失的指令;
- (bb) "强制平仓"发生在交易平台中规定的保证金水平值降低至特定水平而导致未事先通知 客户的强制平仓的情况下;
- (cc) "交易时间"是指一天中根据本协议可以就服务开启或关闭交易的时间;
- (dd) "交易平台"是指 Doo Prime 的电子交易平台设施,如第 7.3 条所述;
- (ee) "交易"是指:
  - (i) 交易、订单或头寸的开仓或平仓; 和
  - (ii) 存款、取款、内部资金转账和所有其他资金流动活动,

根据本协议的条款,无论是 Doo Prime 还是客户。

#### 2.2 在本协议中:

- (a) 表示单数的词应包括复数,反之亦然;
- (b) 表示任何性别的词语应包括所有性别; 和
- (c) 表示人的词语应包括自然人、公司、企业、法人团体和非法人团体。
- 2.3 除非另有明确规定,否则本协议中的任何提及:
  - (a) 条款或附表是对本协议相关条款或附表的引用; 和
  - (b) 提述某条文,即提述有关附表的有关条文。

附表应构成本协议的一部分。

2.4 本协议中的条款、章节和附表的标题仅供参考,在解释本协议任何条款的含义时应忽略。

#### 3. DOO PRIME 的服务

#### 3.1 DOO PRIME 提供的服务

- 3.1.1 Doo Prime 提供的所有服务和所有交易均应遵守此处包含的条款和条件。
- 3.1.2 客户承认并同意 Doo Prime 的服务不包括提供任何投资建议或推荐。客户与 Doo Prime 员工 之间进行的任何可能的讨论或 Doo Prime 提供的任何信息均不构成他们之间的任何约束关系 ,也不构成 Doo Prime 对客户的推荐。
- 3.1.3 Doo Prime 或 Doo Prime 的任何控股公司网站上显示的任何投资信息均不构成任何投资、税务、法律、监管或财务建议,也不考虑客户的特定投资目标、财务状况或特定需求。客户理解并承认:
  - (a) 在 Doo Prime 或 Doo Prime 的任何控股公司网站上发布的所有信息仅供公众参考;和
  - (b) 仅仅解释任何交易的条款或其表现特征并不构成对投资价值的建议。
- 3.1.4 客户确认、承认并接受 Doo Prime 在任何情况下均不得:
  - (a) 有义务就任何服务提供任何投资建议;

- (b) 对客户进行的任何交易或投资决定承担任何责任; 和
- (c) 为响应客户对进一步市场信息的要求, Doo Prime 向客户披露此类真实市场信息不构 成投资建议。
- 3.1.5 客户理解并接受他对客户订立的任何投资策略、交易或投资负全部责任。
- 3.1.6 Doo Prime 可能会不时并在其认为适当的情况下发布和/或分发材料或第三方材料("材料"),其中包含的信息包括但不限于金融市场状况,发布于 Doo Prime 的网站和其他媒体和/或由客户接收。该材料仅用于营销传播目的,不包含也不应被解释为包含任何交易的投资建议和/或投资推荐。尽管 Doo Prime 已尽一切合理努力确保信息的准确性和完整性,但 Doo Prime 不对材料作出任何陈述和保证,并且不对所提供信息的任何不准确和不完整造成的任何直接或间接损失或损害负责。未经 Doo Prime 同意,客户不得仿造、复制、重新分发、许可材料。
- 3.1.7 客户承认客户的主要经纪人和主要交易员会是 Doo Prime。 在客户通过直接从 Doo Prime 的 流通量供应商者处存入或提取资金来执行交易或开仓的情况下,这些流通量供应商不应成为 客户的交易上手。
- 3.2 Doo Prime 暂停和终止服务
- 3.2.1 Doo Prime 保留权利并可自行决定:
  - (a) 暂停或终止客户的账户;
  - (b) 通过要求提供更多文件和详细信息来执行额外的客户尽职调查;
  - (c) 无限期冻结客户在账户中的资金,直至另行通知;
  - (d) 暂停开仓和平仓任何或所有仓位,强制平仓任何或所有仓位或拒绝开立新仓位;
  - (e) 暂停或终止任何正在进行的交易;
  - (f) 暂停或终止任何正在进行的交易;
  - (g) 在 14 个日历日内调查涉嫌的怀疑,在最初的 14 个日历日过后可延长至另外 14 个日 历日;
  - (h) 向有关监管部门提交可疑活动或可疑交易报告;

(i) 向监管部门提交可疑活动或可疑交易报告;

无论是否事先通知客户。客户同意并承认, Doo Prime 不承担因行使Doo Prime在第 3.2.1 条 款内的权利, 或 Doo Prime 的任何服务的任何修改、暂停或终止, 让客户或任何第三方遭受的任何直接、间接、后果性、附带损失、利润损失、商誉损失、声誉损害和丧失机会的责任。客户应赔偿 Doo Prime 因上述所有事件而产生的所有成本和费用。

3.2.2 客户承认,在以下情况下, Doo Prime 可根据第 3.2.1 条行事:

- (a) Doo Prime 有理由认为进行交易将违反任何适用的法规和条例;
- (b) 客户违反或 Doo Prime 合理地认为客户将违反本协议的重要条款和条件;
- (c) 客户对 Doo Prime 作出任何重大失实陈述,包括但不限于提供虚假、伪造或更改的文件;
- (d) 客户未能提供与 Doo Prime 进行的任何验证过程相关的信息;
- (e) 客户违反诚信行事或从事非法和/或不道德的活动,包括但不限于洗钱、恶意对冲、欺 诈或任何其他形式的欺骗或欺诈活动;
- (f) 存在安全漏洞;
- (g) Doo Prime 有合理理由相信客户正在使用电子设备、软件、算法或任何其他策略来利用、操纵或不公平地利用 Doo Prime 的交易系统;
- (h) Doo Prime 有合理理由相信客户将无法向 Doo Prime 支付客户欠 Doo Prime 的任何款
  项;
- (i) 发生与客户有关的无力偿债、破产或债务重组事件;
- (j) 发生不可抗力事件; 或
- (k) 发生特定违约事件。

## 4. 遵守适用的法律法规和协议修订

4.1 客户承认,本协议项下的所有交易均应遵守适用的法规和条例,其中:

- (a) 如果本协议与任何适用的法律法规有冲突,以后者为准;
- (b) Doo Prime 保留对任何交易和账户采取任何必要和合理行动的权利,以确保遵守任何 适用的法规和条例;和
- (c) Doo Prime 按照适用的法规和条例采取的所有行动均对客户具有不可撤销的约束力。
- 4.2 如果有任何可疑活动或交易, Doo Prime 可以行使第 3.2.1 条规定的权利,并在 14 个日历日 内对可疑活动或交易进行调查,在最初的 14 个日历日过后可延长至另外 14 个日历日。Doo Prime 保留向相关监管机构报告客户活动的权利,如果出现以下情况,对任何直接或间接损 失或损害不承担任何责任:
  - (a) 客户的欺诈性虚假陈述;
  - (b) 客户任何形式的不当得利;
  - (c) 客户进行的任何非法商业活动; 或
  - (d) 任何其他不符合或可能不符合适用法规和条例的商业活动;

此后, Doo Prime 保留根据第 16 条终止本协议的权利。

- 4.3 客户接受并理解 Doo Prime 有权随时通过在网站或CRM系统上发布经修订的客户协议,或发出至少三十个日历日的通知("修订")来修改本协议的条款和条件,包括但不限于通过电子邮件发送给客户或发布在 Doo Prime 网站上的通知。修订将取代先前协议的相关条款和条件。
- 4.4 Doo Prime 保留审查和修改与服务的交易和执行相关的所有条款和条件的权利,此类更改应在 Doo Prime 网站或CRM系统上发布后生效,客户承诺不时检查。
- 4.5 修订将在网站或CRM系统上发布后生效,除非 Doo Prime 在通知之日起三十个日历日内收到 客户不批准的书面通知,否则客户应被视为接受修订。在这种情况下,修订对客户不具有约 束力,但客户的账户将在十四个日历日内被暂停,并建议客户做出必要的安排以终止客户的 账户。
- 4.6 Doo Prime 明确保留使用其网站或CRM系统将本协议的任何更改通知客户的权利,并且在 Doo Prime 网站或CRM系统上发布通知应被视为向客户发出此类更改的有效通知。客户承诺

定期查看 Doo Prime 的网站或CRM系统,和/或定期访问他的帐户以获取任何已发布的相关信息。

#### 5. 客户的风险披露和确认

- 5.1 客户承认客户已阅读 Doo Prime 网站上的风险披露和确认。
- 5.2 客户了解 Doo Prime 网站上的服务具有高度投机性,可能会使客户的财务状况面临高度波动。客户接受、理解并意识到此处的风险并愿意承担此风险。
- 5.3 Doo Prime 网站上提供的所有服务均不构成交易服务的招揽或要约。 某些服务仅限于某些国家和地区的客户。
- 5.4 客户承认并接受:
  - (a) 客户在财务上愿意并有能力承担投机性投资交易的风险;
  - (b) 客户对所做出的投资或交易决定的任何利润或损失承担全部责任;
  - (c) 客户的投资决定将完全基于他自己对市场、财务状况和投资目标的评估,其中客户承 诺不对 Doo Prime 追究任何交易损失的责任;
  - (d) 客户有责任保持功能正常的计算机设备、稳定的互联网连接、具有足够防病毒保护的 操作系统和备份系统,以防止损坏和/或未经授权访问客户的账户和交易平台;
  - (e) 一项投资的过往表现并不代表其未来表现;
  - (f) 某些服务是或有负债交易, Doo Prime无法对其流动性提供任何保证。由于不可预见 的市场情况:
    - (i) 平仓可能有困难;
    - (ii) 清算可能只能在巨额亏损的情况下进行; 或
    - (iii) 客户可能需要在短时间内存入额外的大笔资金作为保证金,以确保客户的头寸不会被亏损的情况下清算;

- (g) 在其他司法管辖区的市场进行交易可能会使客户面临额外的风险,因为这些市场可能 会提供不同或较差的投资者保护,建议客户在进行其他司法管辖区的市场交易之前了 解其规则和风险;
- (h) Doo Prime 保留随时修改、撤销或终止 Doo Prime 提供的任何交易活动、活动、促销活动和任何种类利益的权利, 恕不另行通知;
- (i) 在交易平台上进行的所有交易均应被视为由客户或其授权代表进行;

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- (j) 差价合约是复杂的工具,带有或有负债和因杠杆而迅速亏损的高风险,客户承担与之前投资相关的所有风险;
- (k) 期货交易风险极大,因为期货交易中可获得的杠杆意味着少量的存款或首付可能导致巨大的损失和收益;
- (I) 保证金交易风险极大,可能导致资金损失超过客户账户中的存入金额;
- (m) 客户知晓并理解每项服务的特点和风险以及交易平台的特点,除非客户接受和理解,否则不得交易或处理每项服务;
- (n) 客户已阅读本协议,同意所有条款和条件,并独立评估 Doo Prime 交易平台和交易的风险和优点,而且并不依赖本协议中另有提及的任何陈述和保证;
- (o) 客户已独立评估客户所在地区的适用法规和条例,并承诺在不违反任何适用法规和条例的情况下签订本协议;
- (p) 如果服务以客户居住国货币以外的货币进行交易,汇率的任何变化都可能对其价值、 价格和表现产生负面影响,并可能导致客户蒙受损失。
- (q) 对于因信息、通信或电子系统的故障、延迟、中断、故障而造成的任何损失或损害,Doo Prime 概不负责,但 Doo Prime 的重大过失或故意违约除外;
- (r) 由于快速的市场波动和不可预见的事件:
  - (i) 止损单可能无法有效限制所产生的损失;
  - (ii) 客户可能需要在短期内存入大量资金以维持未平仓头寸;
  - (iii) 部分仓位将难以平仓;

- (iv) Doo Prime 可以根据第 7 条行使追加保证金和/或强制平仓, Doo Prime 不对客 户遭受的任何损失负责;
- (s) 客户知晓并理解每项服务的应付费用;
- (t) Doo Prime 的交易工具价格是从Doo Prime的流动性提供者处获得的,当服务的流动性 有限时,可能会出现价格差距和流动性短缺,交易可能无法按照预期的价格和数量执 行;
- (u) 交易平台上提供的某些服务的价格可能独立于任何交易所, Doo Prime 没有义务遵循 其他交易所平台的价格;
- (v) 客户应获取有关预期投资的相关详情,例如保证金要求、头寸和/或交易量限制等;
- (w) 客户应对其税费和/或其他确保遵守适用法规和条例的义务负责;
- (x) 如果 Doo Prime 破产或参与债务重组机制,客户可能无法完全收回存入账户的资金或 财产;
- (y) Doo Prime 没有任何义务通知客户任何公司事件的发生,并且不承担由此造成的任何 损失;
- (z) Doo Prime 不得与 Doo Prime 网站上不时更新的某些司法管辖区的个人或公司建立任 何业务关系。 Doo Prime 保留随时修改其禁止国家名单的权利, 恕不另行通知;
- (aa) 来自交易平台的交易数据、交易和买卖产品详细信息(客户个人数据除外)仍然是
  Doo Prime 的专有财产, Doo Prime 保留在必要或 Doo Prime 认为适当和合理的情况
  下对其采取行动的权利;和
- (bb) 差价合约交易不赋予客户对基础交易工具的任何权利,并且根据其性质,当交易失败时,客户可能有责任进一步付款。
- 5.5 本协议和风险披露和确认通知中披露的风险并非详尽无遗,并且可能未披露或解释与处理服务相关的所有风险。如果客户不理解此处解释的风险,客户应寻求独立建议。



#### 6. 客户的陈述和保证

- 6.1 客户向 Doo Prime 陈述和保证,并同意每次客户根据当时的情况打开或关闭交易时,每项此 类陈述和保证均有重复效果,即:
  - (a) 客户是思想健全、成年和法定年龄的人并具有法律能力的个人,或者如果客户是法人 团体,客户保证他是合法注册成立的,并且授权代表拥有处理账户的全部权力;
  - (b) 客户已获得执行、交付和履行本协议所需的所有公司、政府、监管和其他同意或批准;
  - (c) 客户拥有执行、交付和履行本协议的全部权力和合法授权;
  - (d) 未有清盘呈请,法院命令,破产诉讼,针对客户提出、作出、提起,未有就客户清盘 或任命清盘人或临时清盘人的决议通过;
  - (e) 客户不是政治人物、政治人物、非政府组织或大使馆的亲密伙伴;
  - (f) 客户在国防、军事、原子能、成人娱乐、大麻、赌博方面没有业务;
  - (g) 在客户的申请表中以及之后的任何时间提供给Doo Prime的信息都是真实准确的;
  - (h) 客户被正式授权执行和交付本协议、进行每笔交易并履行客户在本协议下的义务,并 已采取一切必要行动授权此类执行、交付和履行;
  - (i) 除非客户以书面形式另行通知 Doo Prime 或客户是按照授权书行事,否则客户将作为 委托人签订本协议和每笔交易;
  - (j) 代表客户执行交易或被授权执行交易的任何人将已获得正式授权;
  - (k) 本协议的执行和每笔交易不会违反适用于客户的任何适用法规和条例、法律、法规或 法规;
  - (I) 客户未在胁迫或以其他方式说服或被迫签的情况下签订本协议;
  - (m) 本协议中创建的每项交易和义务对客户具有法律约束力和可执行性;
  - (n) 客户承诺在合理可行的情况下尽快通知 Doo Prime 其个人详细信息或与本协议相关的 其他信息的任何更改;
  - (o) 截至本协议签订之日,客户未违反本协议的任何条款和条件;



- (p) 客户已阅读、理解并承诺遵守本协议以及第 1.3 条中提及的文件;
- (q) 应要求,客户应向 Doo Prime 提供遵守本协议所需的任何信息或证据,包括但不限于 资金来源的详细信息、根据 Doo Prime 要求的验证文件等,以及客户的失败 提供相同 内容可能会援引 Doo Prime 行使第 3.2.1 条规定的权利;
- (r) 诚信使用本服务、交易平台、Doo Prime 支付服务商和其他服务商提供的服务与用于自己的交易目的,不得将其用于本协议以外的目的;
- (s) 根据适用的法规和条例以及为本协议的目的使用交易平台上的服务、数据和信息;
- (t) 将使用或存入 Doo Prime、Doo Prime 的相关公司、关联公司、支付服务提供商、支付网关提供商及其各自的银行服务提供商的所有资金不应受到任何押记、留置权或其他产权负担或以任何方式产生自非法活动,包括但不限于贩毒、绑架、洗钱或其他犯罪活动;
- (u) 始终遵守所有适用的法规和条例;
- (v) 客户愿意并在财务上能够承受因执行交易而支付给Doo Prime的所有客户资金的全部损失;
- (w) 客户已安装并实施了适当的防病毒保护措施,并承诺赔偿 Doo Prime 因违反本条款而造成的任何损失或损害;
- (x) 承担所有适用的个人税并赔偿 Doo Prime 因违反本条款而造成的任何损失或损害;
- (y) Doo Prime 可以将客户的名称、徽标、商标或品牌用于营销和促销目的;
- (z) 客户应通知 Doo Prime 客户违反或可能违反本协议的任何行为,在没有此类通知的情况下, Doo Prime 可自行决定撤销本协议。
- 6.2 客户向 Doo Prime 声明并保证不会:
  - (a) 将交易平台、Doo Prime 支付服务提供商和其他服务提供商的服务用于任何非法目;
  - (b) 疏忽或恶意干扰、扰乱、超载或延迟交易平台、Doo Prime 的支付服务提供商和其他 服务提供商的运营;

- (c) 使用除Doo Prime提供的软件、程序、算法或应用程序以外的任何软件、程序、算法 或应用程序,直接或间接用于:
  - (i) 操纵或不公平地利用交易平台、Doo Prime 支付服务提供商和其他服务提供商 的服务; 或
  - (ii) 用于操纵或不公平地利用Doo Prime构建、提供或传达Doo Prime的买入或卖出价格的方式的任何套利做法(例如但不限于延迟滥用、价格操纵或时间操纵);
- (d) 采取任何旨在利用价格错误和/或场外价格交易的交易策略,或利用互联网延迟;
- (e) 进行任何恶意套利交易、无诚信套利交易或其他类似的交易策略,以利用外币利率差异;
- (f) 自行或与他人一致执行任何交易:
  - (i) 根据适用的证券交易所法规,执行该相关公司中拥有可申报的权益的交易;
  - (ii) 有关于:
    - (aa) 配售、发行、分发或其他类似事件;
    - (bb) 要约、收购、合并或其他类似事件; 或
    - (cc) 任何其他公司财务风格的活动;

客户参与或以其他方式拥有利益的情况下;

- (g) 违反任何适用的法规和条例;
- (h) 违反本协议的任何重要条款;
- (i) 反编译、解码或反汇编Doo Prime的任何算法、软件或应用程序;
- (j) 在 Doo Prime 的合理酌情权下,为不正当收益、利润、回扣、佣金、免掉期、节省成本和任何形式的利益而做出以下任何行为:
  - (i) 利用交易系统中的报价缺陷、漏洞进行不当获利;
  - (ii) 利用经纪商系统中的缺陷、漏洞进行不当获利;

- (iii) 利用 Doo Prime 提供的交易活动、活动、促销活动和任何形式的利益中的缺陷和/或漏洞;
- (iv) 利用经纪商提供的交易条件进行不当获利(交易条件就包括了杠杆、赠金等);
- (v) 利用OTC市场的流动性市况进行不当获利;
- (vi) 利用OTC市场和其他相关市场的关联性进行不当获利;
- (vii) 利用市场不活跃的情况进行不当获利;
- (viii) 与其他经纪商进行交易对冲进行不当获利;
- (ix) 其他违反市场公平性原则进行的不当获利;
- (x) 触发和违反 Doo Prime 的内部风险管理政策;
- (j) 开立或关闭一项交易或持仓,该交易或头寸违反任何一级或二级立法或其他禁止内幕 交易、市场操纵、市场滥用、关联方交易的法律,或进行其他违反适用法律法规的市 场扭曲行为。
- 6.3 如果客户违反本第 6 条项下的任何陈述或保证,客户承认 Doo Prime 保留权利并可以自行决定:
  - (a) 暂停或终止客户的账户;
  - (b) 冻结客户账户中的资金;
  - (c) 暂停、作为无效、作废或终止任何交易;
  - (d) 在账户内,扣除、应用、抵销任何利润、回扣、佣金和任何从违反本协议条款和条件 的交易活动和交易中获得的利益(如果是介绍经纪人,所有利润、回扣、佣金和从客 户的交易活动和交易中获得的任何形式的利益);
  - (e) 如果在 Doo Prime 行使第 6.3(d) 条规定的权利后未支付费用,余额应立即到期并支付给 Doo Prime,否则 Doo Prime 将保留其提起法律诉讼的权利;

- (f) 处理将部分客户资产提取到客户的授权银行账户、客户的授权/原始存款渠道或 Doo Prime 的支付网关提供商; 和/或
- (g) 终止本协议。

## 7. 客户账户

#### 7.1 开户

- 7.1.1 客户需要在线填写注册表,在账户注册期间选择交易平台和所需账户类型。客户应在账户注册前阅读并了解每种账户的功能和限制。 Doo Prime 将在账户成功注册后通知客户。
- 7.1.2 Doo Prime 保留以任何理由拒绝注册帐户的权利,并可随时通过通知客户或在 Doo Prime 的网站上更改帐户的功能。
- 7.1.3 客户授权 Doo Prime 使用客户提供的所有信息进行信用报告搜索或其他可用搜索以验 证客户的身份。
- 7.1.4 除非以书面形式明确同意或在授权书适用的情况下,客户保证客户将作为委托人而不 是作为未公开人士的代理人与Doo Prime开立每个账户。客户应负责履行其在交易项 下的义务,并承担账户项下的所有法律义务。
- 7.1.5 客户应负责保护帐户 ID 和密码,并在以下情况下立即通知 Doo Prime:
  - (a) 账户 ID 和密码的任何丢失、被盗或未经授权的使用;
  - (b) 账户或交易中的任何不准确信息; 和
  - (c) 客户未发出的任何交易确认收据。
- 7.1.6 如果三个月内没有任何交易或账户活动, Doo Prime 有权在通过最后已知的电子邮件 和服务地址通知客户后终止账户。 Doo Prime 应根据第 10 条将任何正现金余额存入 客户的银行账户并扣除任何适用的费用。

#### 7.2. 账户基础货币

7.2.1 客户可以在账户注册期间选择 Doo Prime 网站上可用的货币作为账户的基础货币。

- 7.2.2 如果存入账户的金额为基础货币以外的货币, Doo Prime 将按照现行兑换率自动将金额转换为基础货币。
- 7.2.3 当从客户的账户提款或退款时, Doo Prime 保留以Doo Prime最初收到此类资金的相同 货币汇出资金的权利。 如果此类提款或退款以基础货币以外的货币进行, Doo Prime 将按照现行兑换率自动将金额转换为此类货币。
- 7.2.4 客户应承担从账户存入或提取资金所产生的任何银行转账费用和货币兑换率。

## 7.3. 交易平台

Your Private Broker

- 7.3.1 Doo Prime 授予客户通过其 MetaTrader 4 和 MetaTrader 5 交易平台("交易平台")交易服务的权限。
- 7.3.2 在进行任何交易活动之前,客户必须从 Doo Prime 的网站下载并安装交易平台。 交易平台应仅用于根据本协议提供的服务。
- 7.3.3 Doo Prime 不作任何明示或暗示的陈述:
  - (a) 交易平台应或将始终不间断、无错误或可用; 和
  - (b) 交易平台没有病毒、错误或任何其他具有破坏性的东西。
- 7.3.4 Doo Prime 应尽最大努力和所有合理的商业努力在以下优先事项和时间范围内解决所 有技术性和技术错误:

优先事项	描述	处理时间
1. 高级	严重影响正常业务交易,无法执行核心业务活	1小时
	动,往往由以下因素造成:	
	- 服务器或操作系统的故障;	
	- 核心功能或系统依赖应用的故障;	
	- 包括但不限于上述因素。	
2. 中级	影响正常业务,对业务运营产生负面影响,但	4小时
	核心功能仍能正常运行,这往往是由以下因素	
	造成的:	
	- 软件或系统的版本更新;	



优先事项	描述	处理时间
	- 核心功能的修改或修订; - 包括但不限于上述因素。	
3. 低级	影响正常业务,但对业务运营没有影响。	24小时

- 7.3.5 客户承认交易平台可能使客户面临与下载和/或使用可能与客户的计算机设备不兼容的 软件相关的风险,并且客户接受此类风险,包括但不限于 硬件、软件、通信线路或系 统。
- 7.3.6 Doo Prime 保留自行决定添加、修改、修订、拒绝或删除交易平台、服务或交易的权利。在对交易平台、服务或交易进行任何修改、拒绝或删除之前, Doo Prime 应尽最大努力在合理时间内通知客户。 Doo Prime 没有义务为此提供任何解释,并且客户承认 Doo Prime 不对客户承担任何责任。

## 7.4 客户的订单

- 7.4.1 Doo Prime 将根据 Doo Prime 网站上提供的执行政策处理交易。执行政策应为本协议的一部分,并以引用方式并入本协议,并适用于客户与 Doo Prime 之间达成的所有交易。 Doo Prime 将通知客户执行政策的任何重大变更,但客户承诺不时在 Doo Prime 的网站上检查执行政策的任何其他变更。
- 7.4.2 除非客户另有指示, Doo Prime 有权根据适用的法规和条例选择将客户的任何交易传送到的市场。
- 7.4.3 Doo Prime 承诺尽最大努力进行交易。客户承认交易可能因 Doo Prime 无法控制的原因而无法完成。Doo Prime 可能在认为不合理执行交易之时推迟执行任何交易,并且Doo Prime 不对因此类推迟造成的任何损失承担责任。Doo Prime 仅接受通过交易平台传输的订单指令。
- 7.4.4 Doo Prime 不表明或保证每笔交易都将以最具竞争力的价格执行,并且显示的所有价格可能会由于以下不同因素而不断变化:
  - (a) Doo Prime 可能无法进入交易服务的每个市场;

- (b) 一定数量和定价的服务可能会受到限制;
- (c) 适用法律法规的变更、系统延迟或系统故障可能会阻止或延迟交易或以预期价 格执行的交易;
- (d) 技术条件,例如数据网络的传输速度和快速的市场条件。
- 7.4.5 客户授权并承认 Doo Prime 可以将交易委托给其他执行经纪人执行,包括但不限于 Doo Prime 的关联公司或非关联第三方,其中 Doo Prime 在本协议项下的权利和义务 适用于 他们。
- 7.4.6 Doo Prime 保留暂停、拒绝任何交易、延迟或限制客户交易活动的权利(包括但不限 于控制最大交易金额、规模和 Doo Prime 对客户的总敞口),并且客户应遵守任何 Doo Prime 可能会就客户在交易平台上的活动通知客户的合理限制,包括但不限于对 交易规模的限制或在以下情况下其他适用条件:
  - (a) 发生特定违约事件或不可抗力事件;
  - (b) 客户所欠的任何费用已逾期;
  - (c) 监管机构或适用法规的执行;
  - (d) 未遵守第 7.6 条规定的保证金;
  - (e) 交易平台、服务、软件、第三方软件、设备、Doo Prime 的支付服务提供商、其他服务提供商的中断、故障或错误或任何其他技术性错误;
  - (f) 在异常的市场条件下;
  - (g) 因交易量不足或过多而无法进行交易;
  - (h) Doo Prime 对交易的合法性或有效性有合理怀疑;
  - (i) 账户被暂停;
  - (j) Doo Prime 已向客户发送终止通知;
  - (k) 任何损害或限制交易平台正常运作的事件;
  - (I) 交易源自明显错误;

- (m) 相关市场交易所不开放交易;
- (n) 客户没有足够的资金来支付交易费用;
- (o) 客户已超出适用的交易限额;
- (p) Doo Prime 或其关联方进行交易可能违反适用的法律法规;
- (q) 当客户利用网络设施进行套利时;
- (r) Doo Prime 认为合适的任何情况。
- 7.4.7 客户可以对服务执行止损,在满足交易条件之前,客户可以修改或取消止损。客户承认在交易条件达成后无法取消或修改止损。对于此类取消或修改失败而造成的任何损失或损害,Doo Prime 概不负责。
- 7.4.8 第三方产品或服务按"原样"提供。 Doo Prime 不对任何第三方产品或服务作出任何 陈述和保证(包括但不限于准确性或完整性)。 Doo Prime 没有义务支持或维护任何 第三方产品或服务, Doo Prime 也不承担任何责任,无论索赔的性质或索赔或声称的 损害的性质如何,包括但不限于因使用或分发第三方产品和服务而引起或与之相关的 任何直接、间接、附带的、后果性的或惩罚性的损害赔偿。
- 7.4.9 如果出现明显错误, Doo Prime 保留权利, 恕不另行通知:
  - (a) 不采取任何行动;
  - (b) 诚信修改任何导致或涉及明显错误的交易,以反映 Doo Prime有全权下合理的考虑; 或
  - (c) 以现行市场价格结束任何导致或涉及明显错误的交易;
  - (d) 使导致或涉及明显错误的交易无效。

Doo Prime 应考虑出现明显错误时的所有市场信息,确定情况是否构成明显错误,然后公平对待客户。

7.4.10 Doo Prime 不对任何损失负责,包括客户因明显错误而遭受的利润、收入或机会损失。

7.4.11 如果发生公司事件, Doo Prime 保留采取以下行动的权利:

- (a) 修改保证金;
- (b) 修改与行使、结算、付款或相关条款有关的任何必要细节; 和
- (c) 决定该修订的生效日期。

## 7.5. 客户资产

- 7.5.1 就本协议而言,客户向 Doo Prime 转移的所有资金均称为"客户资产"。
- 7.5.2 客户资产应由 Doo Prime 为客户托管,并且客户资产应始终与 Doo Prime 的银行账户 分开。 Doo Prime 可能将客户资产和其他客户的资产存放在同一个账户中,并且可能 无法将客户资产与其他客户明确分开。 Doo Prime 应保留必要的记录和客户帐户详细 信息以区分此事。
- 7.5.3 客户承认, Doo Prime 可以代表客户在经批准的银行或第三方的账户中持有或扣除客 户资产, 其中:
  - (a) 该账户可能受到 Doo Prime、银行或第三方的抵销权、担保或留置权的约束, 或者 Doo Prime 被任何监管机构要求此类行动; 和
  - (b) 如果银行或第三方无力偿债, Doo Prime 不对客户的任何损失或损害承担责任。
- 7.5.4 Doo Prime 的政策是不为账户内的客户资产支付利息。客户承认并同意放弃从客户资产中获得任何利息的权利。
- 7.5.5 Doo Prime 可以在不事先通知的情况下申请和/或转移账户中的任何或所有资金,以清 偿客户对 Doo Prime 的当前、未来或或有负债。
- 7.5.6 客户同意 Doo Prime 保留根据适用法规和条例从客户资产中获得的任何权益。

## 7.6. 账户保证金

7.6.1 客户承认并理解保证金交易的高风险性质,客户损失的资金可能超过账户中存入的金额。

- 7.6.2 客户承诺在账户中提供初始保证金,以便为任何服务开立交易。如果可用保证金低于 确保该头寸所需的保证金,Doo Prime 保留拒绝客户开立交易的指示的权利。
- 7.6.3 当发生强制平仓时,客户理解将在未事先通知客户的情况下强制平仓。如果在强制平 仓后账户中有额外的应付金额,客户承诺支付应付给 Doo Prime 的金额余额。
- 7.6.4 在以下情况下, Doo Prime 保留在不事先通知客户的情况下拒绝开设新头寸和关闭客 户任何最高亏损头寸的权利:
  - (a) 客户在账户中有三个或更多未平仓头寸; 和
  - (b) 追加保证金发生。
- 7.6.5 Doo Prime 延迟或未能行使第 7.6.4 条不应视为放弃 Doo Prime 未来这样做的权利。
- 7.6.6 如果发生追加保证金,建议客户:
  - (a) 通过平仓限制他的交易风险; 或
  - (b) 将资金存入账户以满足保证金要求。
- 7.6.7 如果客户未维持保证金要求, Doo Prime 保留限制未平仓头寸额度和数量的权利。
- 7.6.8 Doo Prime 可能会不时向客户发送有关客户保证金要求或客户追加保证金的可能性的 提醒。 Doo Prime 保留随时在修改生效日期前三个工作日发出通知之下修改初始保证 金、保证金水平和保证金要求的权利。 客户承诺随时检查和监控客户的保证金水平和 保证金要求。
- 7.6.9 Doo Prime 保留以市场价格平仓、限制客户开仓规模、拒绝交易或更改保证金的权利,包括但不限于以下情况;
  - (a) Doo Prime 基础或 Doo Prime 以任何方式相关的市场波动性发生变化;
  - (b) 客户的信用风险发生变化;
  - (c) 其投资或头寸代表客户交易的全部或部分的公司正在进行公司事件;
  - (d) 发生不可抗力事件或特定违约事件;
  - (e) 客户未能达到保证金要求;

(e) 对适用法规和条例的任何更改。

7.6.10 客户承认在以下情况下可能需要额外支付保证金:

- (a) 交易失败或服务定价发生变化;
- (b) 账户显示负余额时; 或
- (c) Doo Prime 合理认为此类付款对于保护 Doo Prime 免受当前、未来或预期交易的损失或损失风险是必要的。
- 7.6.11 客户承认,当客户开仓时,Doo Prime 应保留将保证金要求中的资金转移到Doo Prime 指定银行账户的权利,以确保客户的任何还款义务。

7.6.12 追加保证金和强制平仓一经触发,将取代并优先于账户中的所有交易。

## 7.7. 存取款

- 7.7.1 Doo Prime 保留设置账户存款和取款限额的权利。
- 7.7.2 只要账户保持有效和活跃,客户就可以随时通过 Doo Prime 网站上提供的方法将资金 存入账户。
- 7.7.3 在以下情况下, Doo Prime 保留拒绝任何资金存入(通过将资金退还到原始存款方式)或推迟任何资金存入并在 14 个日历日(可进一步延长至额外 14 个日历日)内进行适当调查的权利:
  - (a) 资金由第三方存入(包括资金不合理、可疑或非法、存入金额与客户报告的收入不符、以各种方式存入资金、多个 IP 地址的情况);
  - (b) Doo Prime 有合理理由认为存在违反适用法规和条例或任何法律的行为; 或
  - (c) Doo Prime 有合理理由相信客户账户的用户未经授权,或与客户身份不符;
  - (d) Doo Prime 有合理理由认为资金来源不合法或违反适用的法律法规,包括但不 限于客户资料与存款金额不符、多次存款、多个 IP 地址等情况。
- 7.7.4 如果 Doo Prime 收到来自银行机构或信用卡发卡机构或使用的其他支付方式的任何争 议、索赔和/或拒付,客户承认 Doo Prime 可以执行以下措施:

- (a) 无论是否事先通知, 立即关闭客户的任何和所有未结交易, 无论是亏损还是盈利, 并从账户中扣除有争议的金额;
- (b) 无论是否事先通知, 立即限制客户账户的交易限额, 包括存款限额、提款限额 和开立新头寸的限制, 期限由 Doo Prime 自行决定; 或
- (c) 根据第 16 条终止本协议。
- 7.7.5 如果账户中有正现金余额,客户可以从账户中提取客户资产。在以下情况下,Doo Prime 保留拒绝或推迟任何提款的权利:
  - (a) 提款到第三方的银行账户, 交易户口或电子钱包;
  - (b) Doo Prime 有合理理由认为存在违反适用法规和条例或任何法律的行为;
  - (c) 客户未向 Doo Prime 支付费用;
  - (d) 此类提款将导致账户中的现金余额为负数;
  - (e) 客户的银行账户资料不完整或未经核实;
  - (f) 如果提取资金,客户将没有足够的可用保证金;
  - (g) 发生特定违约事件或不可抗力事件。
- 7.7.6 客户应对提款时提交的付款细节全权负责。如果提供的付款细节不准确或不完整, Doo Prime 不对任何损失或损害负责。
- 7.7.7 Doo Prime 应采取合理的商业努力,确保资金及时提取,但因延迟提取资金而造成的 任何损失或损害不承担任何责任。
- 7.7.8 客户应承担从账户提取资金时适用的所有银行转账费用和货币兑换率。
- 7.7.9 Doo Prime 不对根据第 7.7 条行使其权利的任何损失、成本或费用承担责任。

## 7.8. 费用和收费

7.8.1 客户应向 Doo Prime 支付交易平台的和 Doo Prime 支付服务提供商的任何适用的佣金、费用和收费,包括但不限于附加增值税、任何适用的税款、结算和兑换费、监管

征费或 进行每笔交易时适用的法律费用("费用")。 除非 Doo Prime 另有约定,所有 应付费用均应立即到期,并且必须在进行交易时支付。

- 7.8.2 Doo Prime 保留在交易执行后单独收取费用的酌处权。如果 Doo Prime 采用这种方式,则费用必须以客户名义通过银行账户或信用卡支付。客户应承担客户各自银行征收的任何管理费或货币兑换费。Doo Prime 应以电子格式或 Doo Prime 可能采用的任何其他方式向客户发送应付费用以及相应的详细信息。费用应立即支付,客户有义务按时支付费用,否则 Doo Prime 将保留启动法律程序的权利。未能或延迟发送此类声明不应使任何交易无效并放弃 Doo Prime 要求费用的权利。
- 7.8.3 Doo Prime 会收取从相关付款到期日的次日起至全额付款之日起按日计算的8%年利率。如果未支付费用或费用逾期,客户授权 Doo Prime 立即从账户中扣除任何费用。
- 7.8.4 Doo Prime 保留在生效日期前七个日历日内通知客户修改费用的权利。
- 7.8.5 客户承认, Doo Prime 保留向客户拥有正现金余额的银行机构或第三方索取任何未偿费用的权利。

## 8. 利益冲突

- 8.1 Doo Prime、其联营公司、相关公司、董事或与 Doo Prime 相关的员工可能拥有与任何交易 或服务相关的重大利益、关系或安排。 客户承认并同意 Doo Prime 可以进行任何交易或服 务,而无需事先提及任何潜在的特定利益冲突,包括因任何交易或任何相关交易或职位而产 生或收到的任何利益、利润、佣金、利息或其他报酬。
- 8.2 客户承认:
  - (a) 本协议不得在 Doo Prime 与客户之间产生任何信托关系;
  - (b) Doo Prime 可以将任何交易的执行或完成分配或转让给其联营公司或相关公司;
  - (c) Doo Prime 可能与 Doo Prime 可能拥有经济利益的商业伙伴或金融机构建立业务或交易;和
  - (d) Doo Prime 或其关联公司可能有与客户利益相冲突的利益或与对客户相冲突的所欠义务,并且客户同意在受适用法规和条例约束的情况下Doo Prime 及其关联公司以 Doo Prime 认为适当的任何方式行事。

#### 9. 违约和违约补救措施

- 9.1 与客户有关的"特定违约事件"是指以下任何一项:
  - (a) 客户未能在费用到期付费时向 Doo Prime 支付费用;
  - (b) 客户违反适用的法规和条例或任何法律;
  - (c) Doo Prime 认为有必要防止违反适用的法规和条例;
  - (d) 客户未能支付或达到要求的保证金;
  - (e) 客户违反本协议的任何重要条款;
  - (f) 客户违反第6条中的任何陈述和保证;
  - (g) 客户侵犯了第 13 条中的任何知识产权;
  - (h) 客户对 Doo Prime 做出任何重大失实陈述或欺诈行为;
  - (i) 已在任何国家/地区有破产或破产程序针对客户启动;
  - (j) 客户须遵守与客户债权人的任何重大债务重组安排;
  - (k) 债权人或银行机构对客户强制执行对客户任何资产的担保权益、抵押、押记所产生的 任何权益;
  - (I) 对客户的任何财产征收的任何扣押、执行或其他程序,并且在 7 天内未移除、解除或 支付;
  - (m) 客户执行的任何交易或交易组合或任何已实现或未实现的损失超过客户可用的信用额度;
  - (n) 客户已死亡或精神不健全;
  - (o) 客户未能提供与 Doo Prime 进行的任何验证或调查过程相关的任何信息;
  - (p) 客户使用旨在扭曲或损坏交易平台或 Doo Prime 通信系统的任何类型的病毒或其他破坏性恶意软件;
  - (q) 任何监管机构要求 Doo Prime 或客户终止本协议和账户;

- (r) 客户违反了 Doo Prime 的任何其他政策或程序;
- (s) Doo Prime 有合理的理由相信客户没有诚信行事;
- (t) Doo Prime 有合理理由相信所进行的交易存在错误; 或
- (u) Doo Prime 有合理理由认为有必要行使第 9.2 条规定的权利的任何其他情况。

9.2 如果发生第 9.1 条中规定的任何特定违约事件, Doo Prime 随时保留以下权利:

- (a) 终止任何正在进行的和未来的交易;
- (b) 根据第 16 条终止本协议;
- (c) 根据第 10 条,以现行市场价格关闭所有客户的头寸和开仓头寸并退还客户资产;
- (d) 限制客户的交易活动,包括但不限于存款限额、提款限额和开仓限额;
- (e) 暂停或终止帐户。
- 9.3 在行使第 9.2 条规定的任何权利之前, Doo Prime 应尽可能采取措施通知客户。 Doo Prime 没有义务通知客户,未能通知客户也不会使 Doo Prime 第 9.2 条所述的任何行为或效果无效。
- 9.4 客户承认, Doo Prime 不对因行使第 9.2 条而造成的任何损失或损害承担责任。

## 10. DOO PRIME 对客户账户的抵销权

- 10.1 根据第 10.2 条和第 10.3 条的条件下, Doo Prime 可以在不事先通知的情况下保留或扣除Doo Prime欠客户的任何义务、付款或金额,以偿还客户欠 Doo Prime 的任何金额,包括但不限 于由于客户违反本协议, Doo Prime、Doo Prime 的相关公司、关联公司、支付服务提供商 、支付网关提供商及其各自的银行服务提供商遭受的损失和损害。
- 10.2 如果未支付费用,费用逾期或有破产或破产程序对客户发起,客户授权 Doo Prime 立即出售,采用,抵销,关闭任何或所有客户的投资和/或头寸和/或 Doo Prime、Doo Prime 的控股公司或 Doo Prime 的子公司管制或控制的进行此类行动,以履行客户对 Doo Prime 的任何或所有义务和/或费用。

- 10.3 根据第 10.2 条,客户授权 Doo Prime 在 Doo Prime 认为合适的情况下购买、出售或清算客 户在账户中的全部或任何投资,其中:
  - (a) 如果在扣除应付费用后有正现金余额, Doo Prime 应向客户支付此类投资的公允市场 的价值, 但须扣除将资金转入客户银行账户的任何相关成本和费用;
  - (b) 如果在出售客户投资或关闭客户头寸后有未清费用到期付费,余额应立即到期支付给 Doo Prime,否则 Doo Prime 将保留启动法律程序的权利。
- 10.4 Doo Prime 保留合并所有或任何账户以抵销客户欠 Doo Prime 的任何金额的权利。
- 10.5 本条款不使Doo Prime放弃根据本协议行使追加保证金的权利。

## 11. 客户的个人资料保护

Your Private Broker

- 11.1 客户承认并同意,通过签订本协议并在 Doo Prime 开设账户,客户将向 Doo Prime 提供欧盟通用数据保护条例 2016/679 所指的个人数据("个人数据")。客户承认并同意 Doo Prime 应根据本协议和 Doo Prime 网站上提供的隐私政策处理个人数据。
- 11.2 Doo Prime 收集的个人数据包括但不限于以下内容:
  - (a) 客户的个人详细信息,例如 姓名、电话号码、电子邮件地址;
  - (b) 身份验证文件,例如身份证、护照、水电费单;
  - (c) 务细节,例如银行账户、支付卡信息;
  - (d) 有关客户收入和财富的信息,包括有关客户的资产和负债、账户余额、交易报表、税务和财务报表的详细信息;
  - (e) 职业和就业细节;
  - (f) 交易数据,即与任何交易相关的所有信息和细节;
  - (g) 客户对服务的使用,包括但不限于客户访问过的页面;和
  - (h) 技术信息,包括但不限于客户的设备、操作系统的类型和版本、时区。
- 11.3 客户同意并允许 Doo Prime 处理个人数据:

- (a) 以履行 Doo Prime 在本协议项下的合同义务;
- (b) 根据 Doo Prime 网站上提供的反洗钱和"了解您的客户"政策或其他法律和法规遵从性 执行反洗钱检查、"了解您的客户"("KYC")文件;
- (c) 监控和记录电话以进行业务分析、培训或服务改进;
- (d) 监控和记录电话和电子通信,以处理和验证指令;
- (e) 确保客户满足使用 Doo Prime 服务所需的适用性要求;
- (f) 管理客户的账户;和

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- (g) 向客户提供合适的营销材料。
- 11.4 根据欧盟通用数据保护条例 2016/679("GDPR"),客户保留以下权利:
  - (a) 访问客户的个人数据并询问 Doo Prime 是否正在处理个人数据;
  - (b) 更正或修改客户的个人资料;
  - (c) 限制个人数据的处理;
  - (d) 反对出于直接营销目的处理个人数据; 或
  - (e) 要求 Doo Prime 删除和清除客户的个人数据。
- 11.5 客户承认 Doo Prime 可能需要客户的个人数据来履行其在本协议下的义务,因此客户要求删除客户的个人数据可能会导致根据第 16 条终止客户的帐户和本协议。
- 11.6 只要客户的账户保持活跃有效, Doo Prime 将保留客户的个人数据,并且可以在账户和与客户的协议终止后七年内保留客户的个人数据。客户的个人数据可能会保留七年以上,但须遵守相关监管机构的任何适用法规和条例、法案或政策以及 Doo Prime 的内部政策。
- 11.7 Doo Prime 可能会与第三方共享个人数据:
  - (a) 根据任何适用的法规和条例;
  - (b) 遵守法律和/或法院命令义务;

- (c) 遵守法律或监管机构提出的任何要求;
- (d) 为履行 Doo Prime 在本协议项下的义务所必需的,包括但不限于 Doo Prime 的关联方 或相关公司; 或
- (e) 当客户给予 Doo Prime 同意时。
- 11.8 根据 GDPR, Doo Prime 不得将任何个人数据转移到欧洲经济区("EEA")或欧盟委员会认可的非 EEA 国家以外,除非采取合理措施确保:
  - (a) 第三方在处理个人数据方面对客户的权利和自由有足够、适当和充分的保护;
  - (b) 有足够、适当和充分的安全措施来保护个人数据;
  - (c) 对于任何违反个人数据保护法律和法规的行为,客户拥有可执行的权利和有效的法律 补救措施;
  - (d) 第三方遵守任何适用的数据保护法律和法规规定的义务;和/或
  - (e) 有具有约束力的公司规则或欧盟委员会批准的标准数据保护条款。
- 11.9 Doo Prime 已采取所有合理的商业标准技术和运营保障来保护客户的个人数据并降低潜在的 安全漏洞风险。 但是, Doo Prime 不能保证个人数据的绝对保护和安全。 客户承认, 只要 Doo Prime 采取了所有合理的商业标准并且在保护客户的个人数据方面没有疏忽,Doo Prime 不对第三方实施的超出 Doo Prime 控制的任何恶意和欺诈行为负责。

## 12. 保密

- 12.1 双方同意并相互承诺对任何机密信息或另一方提供给其的任何信息以任何方式与本协议及本 协议所设想的事项有关保密并不披露,并不向该方的专业顾问、董事、高级职员或雇员以外 的任何人披露,但以下情况除外:
  - (a) 经另一方事先书面同意;
  - (b) 根据法律、任何监管机构或任何证券交易所的要求;
  - (c) 可能需要调查或预防任何非法活动;

- (d) 为执行交易和为提供服务的辅助目的而向执行场所或任何第三方提供,并且客户承认该第三方可以对客户进行独立的尽职调查;
- (e) 按照有管辖权的法院的命令; 或
- (f) 经客户同意。
- 12.2 客户同意不以任何方式使用 Doo Prime 提供的有关服务的信息,除非是为了履行本协议项下的任何义务和权利。
- 12.3 除非违反此限制,否则第 12.1 条中的限制不适用于在或进入公共领域的任何信息。
- 12.4 本第 12 条中的义务应在本协议因任何原因终止后自终止之日起七年内维持有效,但不会更长。

#### 13. DOO PRIME 的知识产权

- 13.1 交易平台中的所有知识产权和其他知识产权始终是 Doo Prime 和/或其第三方服务提供商和/ 或 Doo Prime 许可方的专有财产。对 Doo Prime 第三方服务提供商和/或 Doo Prime 许可方 的任何知识产权使用均应遵守此类提供商提供的条款和条件。
- 13.2 根据本协议中的条款和条件, Doo Prime 授予客户访问和下载交易平台以使用服务和在交易 平台上执行交易的非排他性、可撤销和不可转让的许可。客户仅拥有根据本协议使用交易平 台的许可,不享有与任何知识产权相关的任何权利。
- 13.3 客户承诺不会:
  - (a) 复制、仿造、翻译、抄写、使用、增强、反编译、解码、反汇编、分发、出售、传输、出借、质押、转让、更改、篡改、修改、修订、逆向工程、再许可交易平台或任何知识产权或其源代码;
  - (b) 发布、分发、向第三方提供与交易平台或任何知识产权相关的任何信息;
  - (c) 删除或销毁交易平台或任何知识产权的任何版权声明;
  - (d) 使用、重新创建、复制、重新分发 Doo Prime、Doo Prime 第三方服务提供商或 Doo Prime 许可方的任何知识产权;

- (e) 在交易平台上进行任何数据收集,或使用数据挖掘、屏幕抓取、光学识别软件、图像 制作、人工智能、自动化程序或其他类似的数据收集和提取工具;
- (f) 试图获取访问权力或允许未经授权访问交易平台或任何知识产权;
- (g) 上传或传播计算机病毒或其他程序,扰乱或破坏交易平台的正常运行;
- (h) 以本协议不允许的任何方式使用交易平台。
- 13.4 第 13.2 条授予的许可应立即撤销,并且客户承诺在以下情况下不再使用交易平台:
  - (a) 账户或本协议终止;
  - (b) 发生特定违约事件;
  - (c) 客户违反本协议的任何重要条款和条件; 或
  - (d) 客户违反第 13.3 条。
- 13.5 客户应在有任何侵犯 Doo Prime、Doo Prime 第三方服务提供商或 Doo Prime 许可方知识产权的行为时立即通知 Doo Prime。

## 14. 客户对 DOO PRIME 的赔偿

- 14.1 除本协议另有明确规定外,客户同意并承诺向 Doo Prime 赔偿并使 Doo Prime、Doo Prime 的相关公司、许可方、第三方服务提供商、关联公司、支付服务提供商、支付网关提供商及 其各自的银行服务提供商,不遭受、招致或承受因任何客户虚假陈述、违反保证或不履行或 未能履行本协议中包含的任何约定或义务造成的任何损害或损失。
- 14.2 客户承认,此责任应包括但不限于任何法律和行政成本和费用。

## 15. DOO PRIME 的责任排除和限制

- 15.1 Doo Prime 的服务、交易平台、Doo Prime 的支付服务提供商和其他服务提供商的服务应按" 原样"提供。 Doo Prime 不作以下任何明示或暗示的陈述或保证:
  - (a) 关于交易平台、服务、Doo Prime 支付服务提供商和其他服务提供商的服务的可用性,准确性或完整性;

- (b) 交易平台、服务、网站、Doo Prime 支付服务提供商和其他服务提供商提供的服务应 或将是不间断、无错误或始终可用;
- (c) 交易平台、服务、网站、Doo Prime 的支付服务提供商和其他服务提供商的服务没有 病毒、错误或任何其他具有破坏性的东西;
- (d) 关于 Doo Prime 的第三方服务提供商、Doo Prime 的许可方或 Doo Prime 的外包方提 供的服务或软件;
- (e) 关于 Doo Prime 网站上链接到其他第三方网站的超链接;
- (f) 关于客户计算机设备与交易平台的兼容性; 和
- (g) 关于客户或代表客户的任何第三方在客户与 Doo Prime 的交易中的作为或不作为。
- 15.2 根据适用的法规和条例下, Doo Prime 对客户负有的义务和义务, Doo Prime 不对任何起源于(包括但不限于)以下原因的直接、间接、后果性、偶然的损失、利润损失、商誉损失、 声誉损害和机会损失承担责任:
  - (a) 第 15.1 条中的任何项目;

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- (b) 客户违反任何适用的法规和条例或本协议;
- (c) 通过 Doo Prime 网站或发布的任何软件引入客户设备或系统的任何病毒或安全漏洞, 前提是 Doo Prime 已采取合理措施防止任何此类引入;
- (d) 传输错误、延迟、技术故障、故障、网络设备的非法干预、网络过载、第三方恶意阻止访问、互联网故障、中断或互联网服务提供商的其他缺陷;
- (e) 第三方提供的信息或建议的准确性、完整性或延迟性;
- (f) Doo Prime 遵守适用的法规和条例;
- (g) 由于客户的疏忽或恶意第三方而未经授权访问客户的帐户或个人数据;
- (h) 第 17 条规定的任何不可抗力事件;
- (i) 客户就本协议或客户获得服务而从事的任何投资、支出、承诺或第三方服务;
- (j) 对客户数据存储的任何更改、删除、损坏;

- (k) 任何数据、信息或消息中的任何不准确、错误、延迟、遗漏、不履行、中断;
- (I) 来自客户端的任何硬件、软件、连接错误;
- (m) 任何 Doo Prime 的许可人、供应商或相关公司的偿付能力、作为或不作为;
- (n) 客户输入的交易;
- (o) 本协议和在线风险披露和确认通知中向客户介绍的任何风险和警告;
- (p) 客户对止损的依赖;
- (q) 客户对任何其他第三方交易功能的依赖;
- (r) Doo Prime 行使追加保证金和止损;和
- (s) 超出我们合理控制范围且其影响超出我们合理控制范围的任何原因而无法避免。
- 15.3 本协议中的任何内容均不排除或限制另一方对欺诈或疏忽失实陈述的责任,或根据适用的法 规和条例不能排除或限制的任何事项。
- 15.4 如果客户不接受本第 15 条,客户应通知 Doo Prime 关闭并终止任何已注册账户。 Doo Prime 对因此类终止而导致的任何损失或损害不承担任何责任。

#### 16. 协议终止

- 16.1 在不影响任何一方应计的权利和补救措施以及本协议的其他规定的情况下,任何一方均可在 十四个日历日内以书面形式通知另一方立即终止本协议。
- 16.2 在发生以下任何事件时,在不损害本协议下一方的权利的情况下,Doo Prime 可以(但没有 义务)立即或书面通知客户立即终止本协议:
  - (a) 发生任何特定违约事件;
  - (b) 发生任何不可抗力事件; 或
  - (c) 客户违反本协议的任何重要条款和条件。

- 16.3 根据本协议第 16.2 条终止本协议后,客户应支付给 Doo Prime 的未偿费用,包括但不限于以下各项,应立即到期:
  - (a) 因终止协议而产生的任何交易费用以及将客户的投资转移到另一家投资公司所产生的费用;
  - (b) 应付给 Doo Prime 的所有未结费用和任何其他金额;
  - (c) 在结束任何交易或结算或结束 Doo Prime 代表客户产生的未偿义务时发生的任何损失 和费用;
  - (d) Doo Prime 因协议终止而产生或将产生的任何费用和额外费用;
  - (e) 在安排或解决未决义务期间产生的任何损害。

本协议终止后,根据第 10 条,Doo Prime 应在 5 个工作日内将所有可用现金余额退还给客户。如果由于客户的银行账户不活跃或联系方式过时,Doo Prime 无法将现金余额退还给客户,Doo Prime 保留将现金余额退还给相关司法管辖区适用的无人认领货币机构的权利。

- 16.4 Doo Prime 保留其在以下方面索赔的全部合法权利:
  - (a) Doo Prime 因本协议终止而产生的任何费用;和
  - (b) 根据本协议终止后的安排或和解造成的任何损失或损害。
- 16.5 在收到终止通知后, Doo Prime 应继续进行所有已订立或正在执行的交易, 并且本协议的条款和条件应适用, 直到所有未决交易完成为止。 随后客户将需要关闭所有未平仓头寸, Doo Prime 将拒绝为客户开设新头寸。
- 16.6 客户账户的终止将终止客户使用 Doo Prime 向客户提供的交易平台、服务、所有和任何软件 和解决方案的权利和许可。
- 16.7 Doo Prime 在本第 16 条中的权利不应限于任何适用的法规和条例中提供的其他权利。 客户承认, Doo Prime 对行使第 16 条规定的权利时的任何损失或损害不承担任何责任。
- 16.8 在根据第 16.1 或 16.2 条或以其他方式根据本协议终止本协议后,在不损害任何一方在终止前根据本协议应产生的任何权利的情况下,双方在本协议下的义务应停止,关于本协议第 12条、第 13条、第 14条、第 15条、第 20条和第 22条中各方的义务除外。

#### 17. 不可抗力事件

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- 17.1 Doo Prime 可以合理地决定存在紧急情况或异常市场状况("不可抗力事件"),在这种情况下,Doo Prime 应通知相关监管机构并采取合理措施通知客户。不可抗力事件包括但不限于以下情况:
  - (a) 任何超出 Doo Prime 合理控制范围,并且阻止 Doo Prime 的执行,或使得 Doo Prime 履行其在本协议项下的义务变得过分繁重的天灾、洪水、火灾、战争、骚乱、内乱、自然灾害、罢工、政府行为、劳资纠纷、停工、政府暂停、恐怖主义行为、国家紧急状态、病毒爆发、法律或法规变更,或任何其他与自然、技术、政治、政府、社会、经济、流行病、民事紧急情况、恐怖行为或有关的任何其他附带事件;
  - (b) 相关监管机构宣布暂停金融服务;
  - (c) 任何市场的暂停或关闭,或 Doo Prime 所依据的任何事件,或 Doo Prime 以任何方式 与之相关的任何事件,Doo Prime 的报价,或对任何交易施加限制或特殊或不寻常的 条款 此类市场或任何此类事件;
  - (d) 超出 Doo Prime 的合理控制下第三方的任何履约或不履约、他人造成的破坏或任何类 似事件;
  - (e) 超出 Doo Prime可预见性的监管机构或其他机构的任何作为或不作为或政策;
  - (f) 任何交易和/或任何相应市场的水平发生过度变动,或 Doo Prime 对此类变动发生的预期(采取合理行动);
  - (g) Doo Prime、Doo Prime 的第三方或服务提供商的传输、通信、网络、电源中断、网络 安全攻击或电子或通信设备的故障或失败;
  - (h) Doo Prime 无法预测或阻止导致交易平台无法正常运行的任何事件;
  - (i) Doo Prime 的任何相关供应商、中间经纪人、代理人或委托人、托管人、分托管人、交易商、交易所、票据交换所或监管或自律组织,出于任何原因未能履行其义务;或
  - (j) Doo Prime 无法采取任何合理的行动来纠正违约的任何Doo Prime 无法合理控制的任何事件、行为或情况。

- 17.2 如果发生上述任何事件并且 Doo Prime 声称发生了与此相关的不可抗力事件, Doo Prime 可以诚信地自行决定采取以下步骤:
  - (a) 更改本协议的全部或部分条款,以避免此类事件的影响;
  - (b) 修改或修订客户的保证金;
  - (c) 拒绝或终止任何正在进行的交易;
  - (d) 以 Doo Prime 合理认为适当的收盘价关闭任何或所有客户的未平仓交易和/或头寸;
  - (e) 更改特定交易的交易时间;
  - (f) 暂停或冻结交易平台、所有交易和账户;
  - (g) 修改、修订或删除任何服务;
  - (h) 降低杠杆或任何信贷额度;
  - (i) 行使本协议和执行政策赋予的任何权利;
  - (j) 以其他方式将本协议视为因此类事件而受挫,在这种情况下,本协议应立即终止;和/ 或
  - (k) 采取或不采取 Doo Prime 认为在与 Doo Prime 和客户的立场有关的情况下合理适当的 所有其他行动。
- 17.3 Doo Prime 不对客户的任何直接或间接损失或损害负责,包括但不限于因不可抗力事件引起的财务损失、机会损失或任何其他类型的损失。

## 18. 各方沟通

- 18.1 任何开启或关闭交易的要约必须由客户通过交易平台提出。 Doo Prime 不对以下原因造成的 任何损失或损害负责:
  - (a) 客户在执行交易时的不准确、差错或错误;
  - (b) 由于未经授权访问客户账户而执行的任何交易。

- 18.2 Doo Prime 应使用在帐户注册期间提供的联系方式和任何后续更新的详细信息与客户沟通。 根据本协议要求或允许给予或送达的任何通信(包括但不限于任何账户报表、交易详情、费用详情、法律文件、通知、同意和类似文件)均应采用书面形式,并且可以是:
  - (a) 以电子方式交付;
  - (b) 亲自交付; 或
  - (c) 通过挂号邮件或挂号航空邮件发送。
- 18.3 根据第 18.2 条发出或送达的任何通信应被视为已正式发出或送达:
  - (a) 如果通过挂号邮件或挂号航空邮件发送,则在向邮政当局提交邮政寄送之日起两个工作日。如果收货地址在管辖范围内,则在向邮政当局提交邮政寄送之日起五个工作日后。投递地址不在管辖范围内的,自向邮政机关提交邮寄寄送之日起十个工作日;
  - (b) 如果亲自交付,在交付时;
  - (c) 如果通过电子方式发送,则在其目的地的营业时间内立即发送,如果不在营业时间内,则在下一个营业时间开始时发送时。
- 18.4 客户承认并承诺:
  - (a) Doo Prime 可能会记录 Doo Prime 与客户之间的任何通信,此类记录应为 Doo Prime 的专有财产,并可用作法律诉讼中的通信证据;
  - (b) 客户或代表客户传输的任何指令和通信均由客户承担风险, Doo Prime 有权依赖和因此采取行动,并可将 Doo Prime 诚信相信的任何由客户或客户的代理人提供的指令视为已经完全授权和对客户具有约束力;
  - (c) 本协议的官方语言应为英语;
  - (d) 客户可以根据 KYC 文件指定授权人,并且客户授权 Doo Prime 联系授权人以履行其 与适用法规和条例有关的义务;
  - (e) 检查和阅读在 Doo Prime 网站和交易平台上发布的所有通知;
  - (f) 由于软件、电信或其他电子系统错误而未能或延迟接收来自 Doo Prime 的任何通信,不应使通信无效或损害通信, Doo Prime 不对此类通信失败或延迟承担责任;

(g) 检查每月发布的交易报表,如果未将任何明显错误传达给 Doo Prime,则该报表均为 最终结论。

## 19. 客户投诉

- 19.1 客户应在引发投诉的事件发生后三个工作日内以书面形式向 Doo Prime 报告所有投诉。如果客户未能这样做,客户将被视为放弃对 Doo Prime 提出任何索赔的权利。
- 19.2 收到客户的投诉和所有必要信息后, Doo Prime 应着手调查投诉, 采取任何必要措施并不时 更新客户。
- 19.3 在不损害客户权利的情况下,如果 Doo Prime 合理地认为有必要限制争议中的金钱索赔, Doo Prime 可以在不事先通知的情况下关闭与客户发生争议的任何交易。

## 20. 法律和管辖区

- 20.1 本协议在所有方面均应受以下司法管辖区的法律管辖并根据其解释(如适用):
  - (a) 塞舌尔共和国;
  - (b) 毛里求斯共和国; 或
  - (c) 瓦努阿图共和国。
- 20.2 本协议中的任何内容均不限制或禁止 Doo Prime 在具有管辖权的其他法院对客户提起法律诉讼的权利,并且在一个或多个司法管辖区的法律诉讼不应妨碍在任何其他司法管辖区提起法律诉讼。

## 21. 权利转让

- 21.1 本协议对客户的各自继承人、所有权继承人、遗产、个人代表和允许的受让人具有约束力。 未经Doo Prime事先同意,客户不得转让其在本协议项下的任何权利和义务。
- 21.2 客户承认并同意, Doo Prime 可以未经客户事先书面同意,在合理期限内提供书面通知,自行 决定将 Doo Prime 在本协议下的权利或义务或整个协议的履行出售、转让或更新给Doo Prime全部或几乎全部业务的继承人,或资产(如果 Doo Prime 与第三方合并或收购、重组 Doo Prime、清盘 Doo Prime 或将 Doo Prime 的全部或部分业务或资产出售或转让给第三 方)。在遵守上述规定的前提下,本协议对双方及其各自继承人的利益具有约束力并符合其 利益,并不旨在授予任何其他实体或个人本协议项下的任何权利或补救措施。

21.3 客户同意,如果发生上述第21.2段所述的转让、分配或更新,Doo Prime 有权披露和/或转让 所有客户信息(包括但不限于个人数据、记录、通信、尽职调查和客户身份证明文件、文件 和记录、客户交易历史)根据需要转移账户和客户资产,但需事先通知客户。

## 22. 杂项条款

- 22.1 时间,无论在本协议中何时提及,都至关重要。
- 22.2 本协议不应被解释为 Doo Prime 与客户之间的合资企业或合伙企业。 客户承认 Doo Prime 可以向其他方和客户提供交易平台,并同意本协议中的任何内容均不得阻止 Doo Prime 提供此类服务。
- 22.3 在不损害一方可能拥有的任何其他权利或补救措施的情况下,每一方承认并同意,对于任何 违反本协议的行为,损害赔偿可能不是充分的补救措施,对于任何威胁或实际违反本协议的 行为,双方应有权获得禁令、特定履行和其他衡平法上的补救措施。
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